



Spectral Energy Dissipation due to Surface-Wave Breaking



Leonel Romero*, W. Kendall Melville, and Jessica M. Kleiss

* Present affiliation: University of California, Santa Barbara



Motivation

- Quantify and characterize the strength of deep-water wave breaking from field observations

Methodology

- Close the energy balance equation using wave observations of fetch-limited waves to calculate the energy dissipation
- The semi-empirical energy dissipation is combined with the measured statistics of wave breaking (Kleiss and Melville, 2010) to calculate the strength of breaking.
- Guided by available laboratory measurements of the strength of breaking from focusing packets propose and fit a spectral model of the strength of breaking for field conditions.



Summary

- First quantification of the spectral strength of breaking in the field
- The breaking strength $b(k)$ is not a constant, it varies by up to one order of magnitude between the spectral peak and high wavenumbers.
- Based on laboratory measurements and the inertial scaling by Drazen et al. (2008) a spectral model of $b(k)$ with a saturation threshold behavior was fitted to the data.



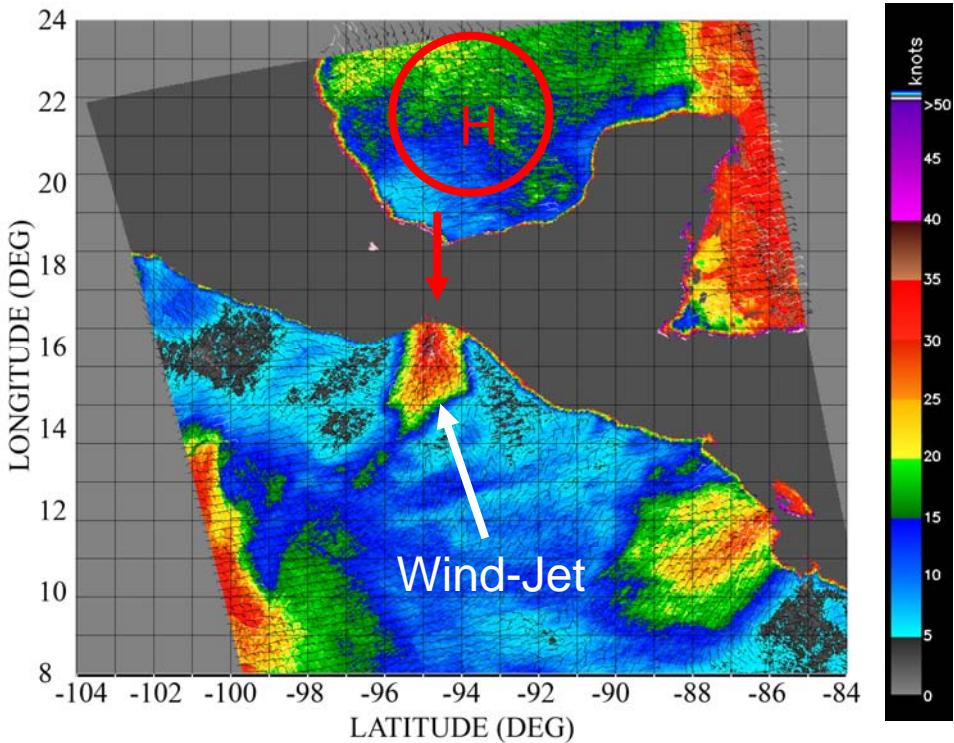
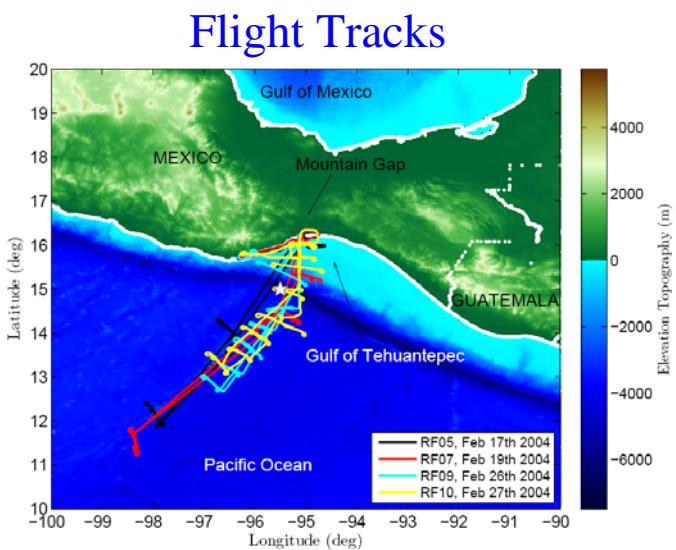
Semi-empirical Dissipation S_{ds}

Energy Balance of deep-waver waves: $\frac{\partial F(k, \theta)}{\partial t} + \bar{c}_g \cdot \nabla F(k, \theta) = S_{in} + S_{ds} + S_{nl}$

- Wave energy advection $\bar{c}_g \cdot \nabla F \approx c_{g_1} \frac{\partial F}{\partial x_1}$ (calculated from measured spectra)
- Wind input S_{in} : calculated from measured spectra, friction velocity, and the forcing functions by Snyder (1981), Janssen (1991), and Janssen (1991) with sheltering
- Nonlinear energy fluxes due to four-wave resonant interactions S_{nl} : calculated from the measured spectra and the “exact” algorithm WRT (Webb, 1978; Resio and Tracy, 1982; van Vledder, 2006; WW3)
- Semi-empirical dissipation: $S_{ds} = c_{g_1} \frac{\partial F}{\partial x_1} - S_{in} - S_{nl}$



Gulf of Tehuantepec Experiment



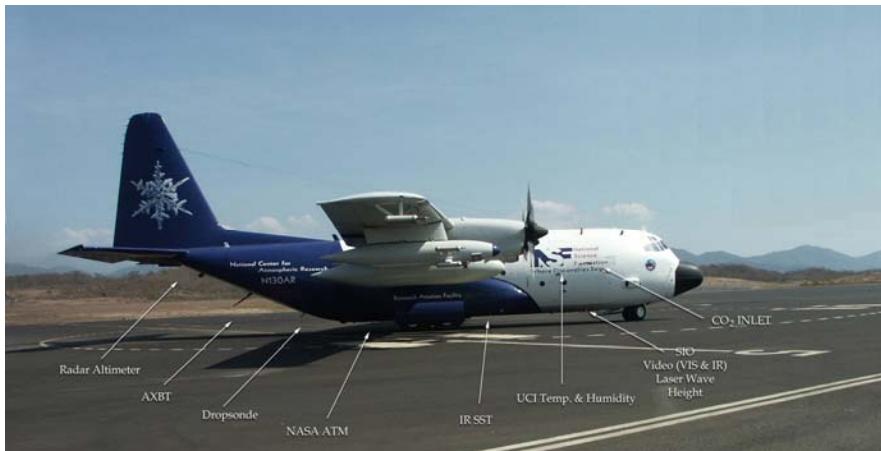
<http://winds.jpl.nasa.gov/>

-Romero and Melville 2010a,b, 2011
Characterization and modeling of the directional spectrum and wave statistics
-Kleiss and Melville 2010,2011
Quantification and characterization of the wave breaking statistics.



Main Airborne Instrumentation

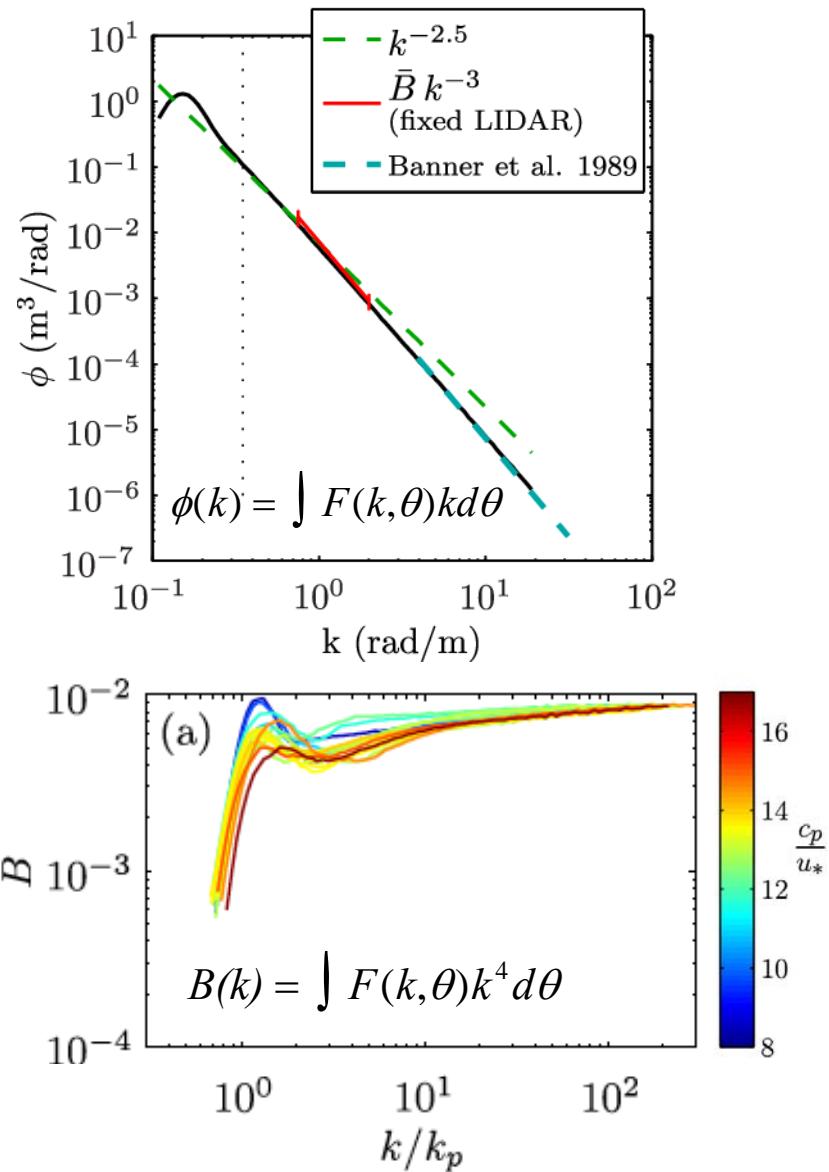
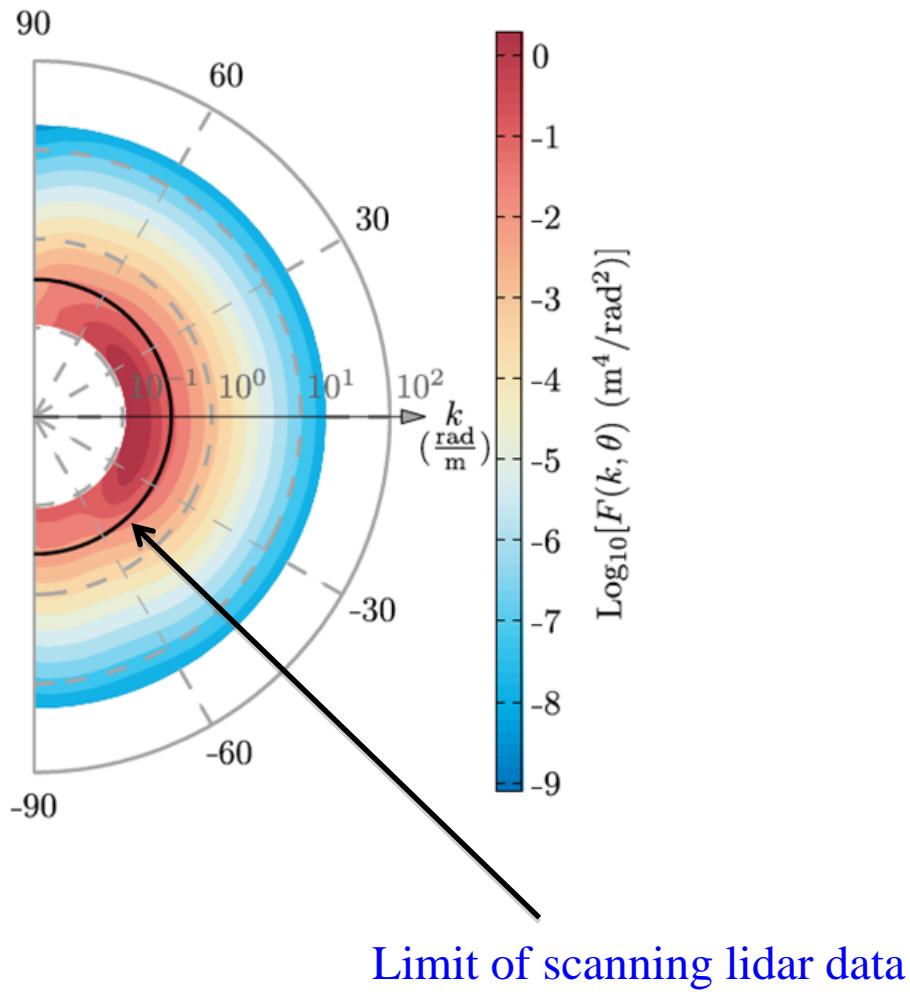
- Wind measurements including turbulent fluxes and standard atmospheric variables: temperature, humidity, and pressure
- GPS / Inertial Navigation Unit
- LIDARs – surface waves
- Nadir looking camera – wave breaking





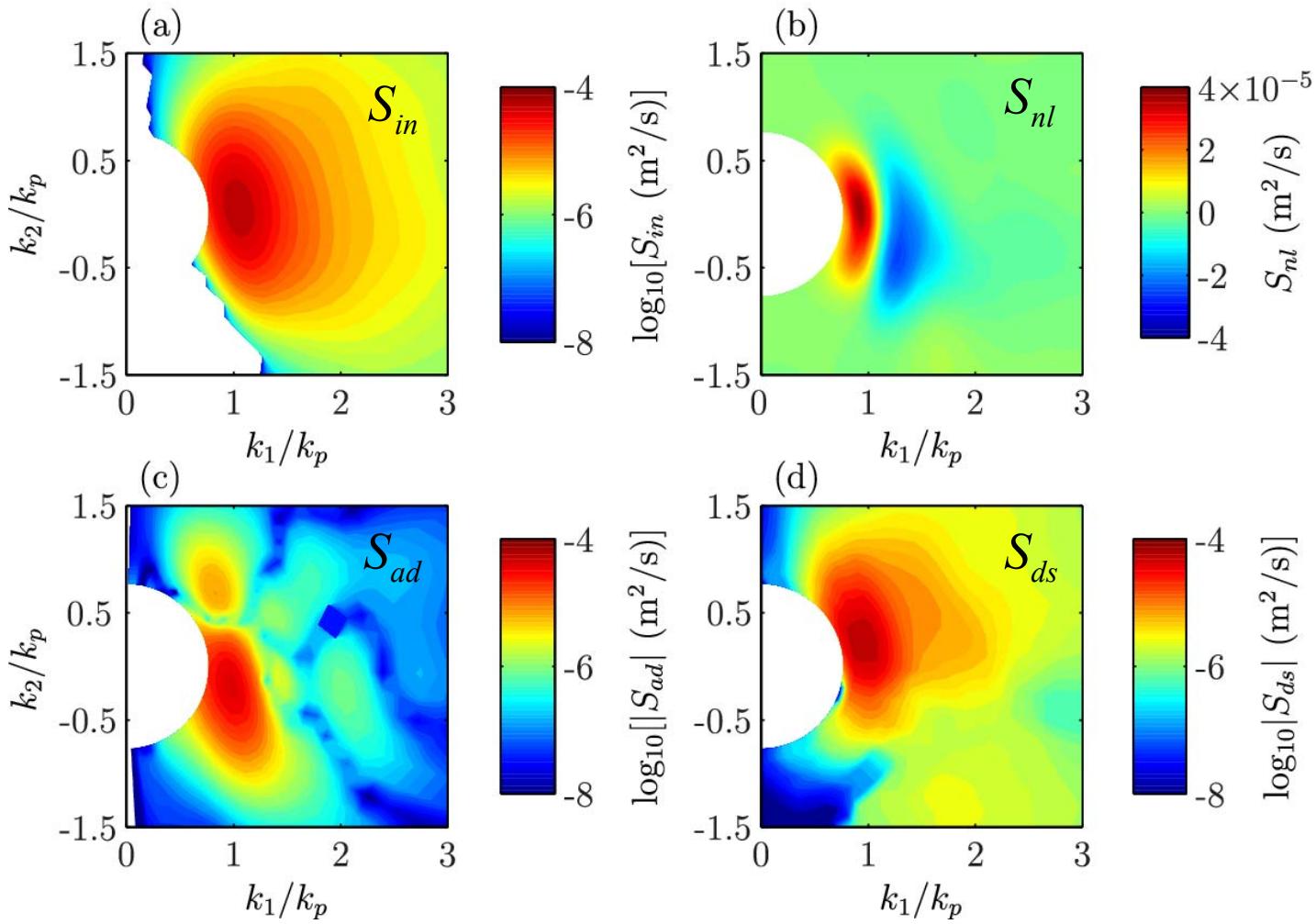
Extrapolation of Spectrum to Large Wavenumbers

- Calculation of S_{nl} requires a broad bandwidth



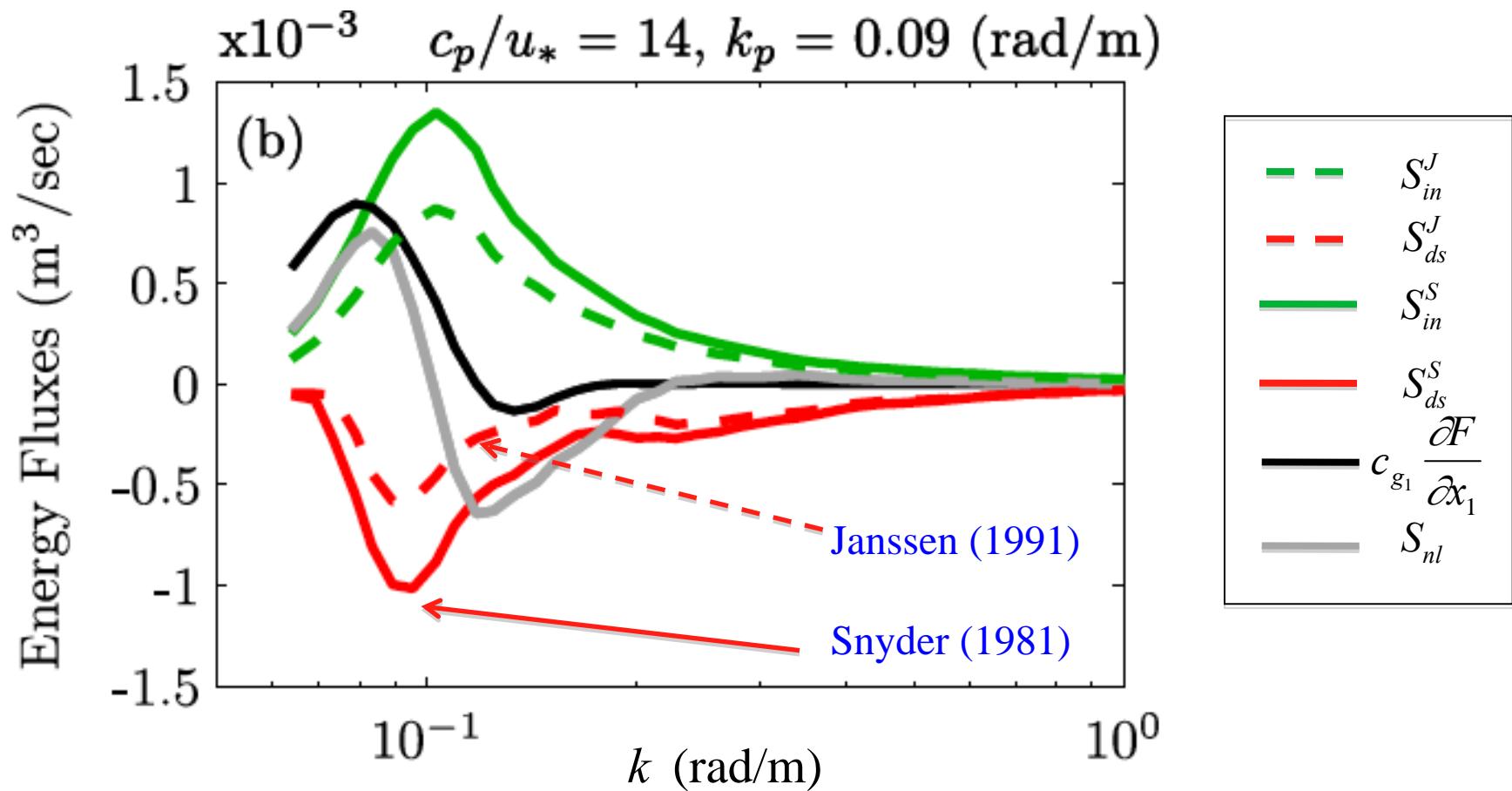


Directional Source Terms





Spectral Energy Balance

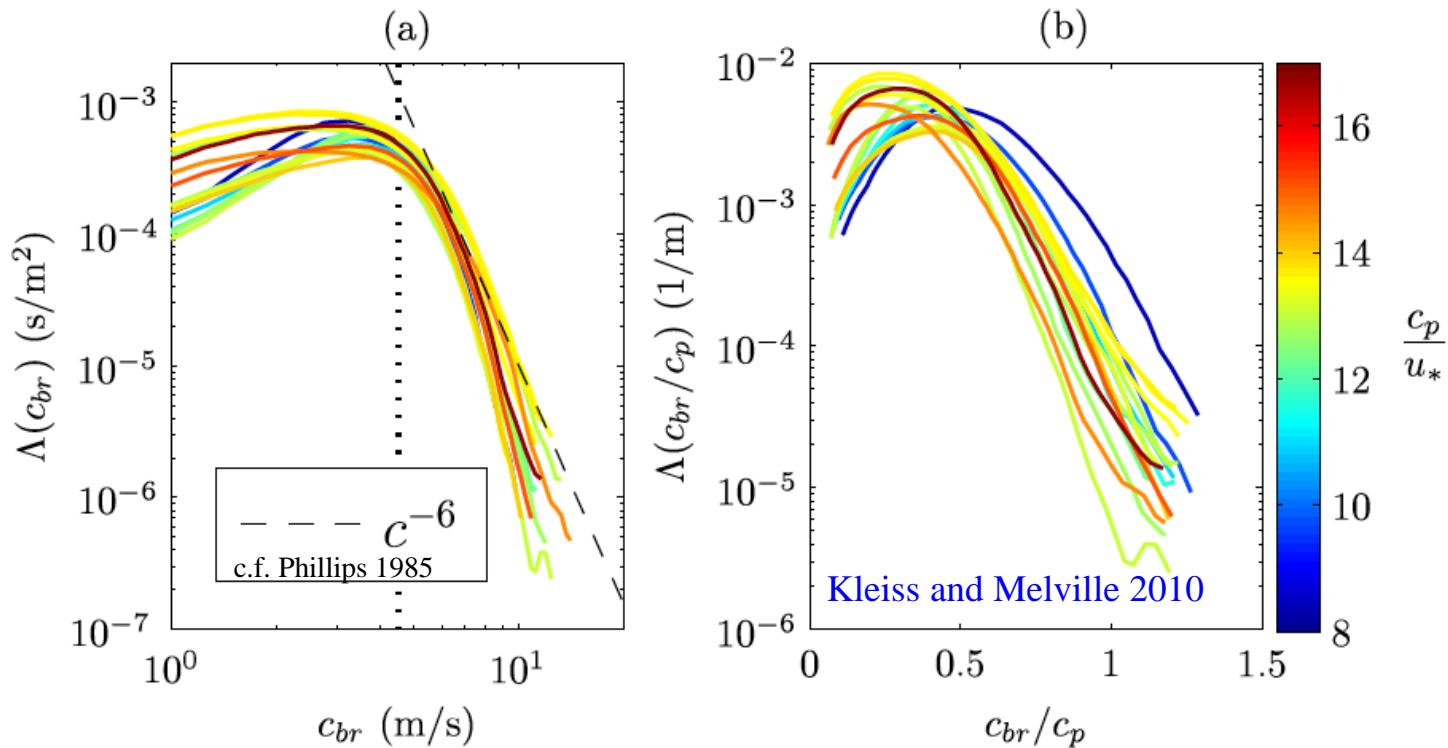


S_{in}^S Snyder (1981)

S_{in}^J Janssen (1991)



Statistics of Breaking Waves



Breaking speed c_{br} and wave phase speed c are linearly related by: $c_{br} = \alpha c$, where $\alpha \approx 0.7-0.95$ is an empirical constant measured in laboratory studies of breaking waves (Rapp and Melville 1990; Stansell and MacFarlane 2002; Banner and Peirson 2007)



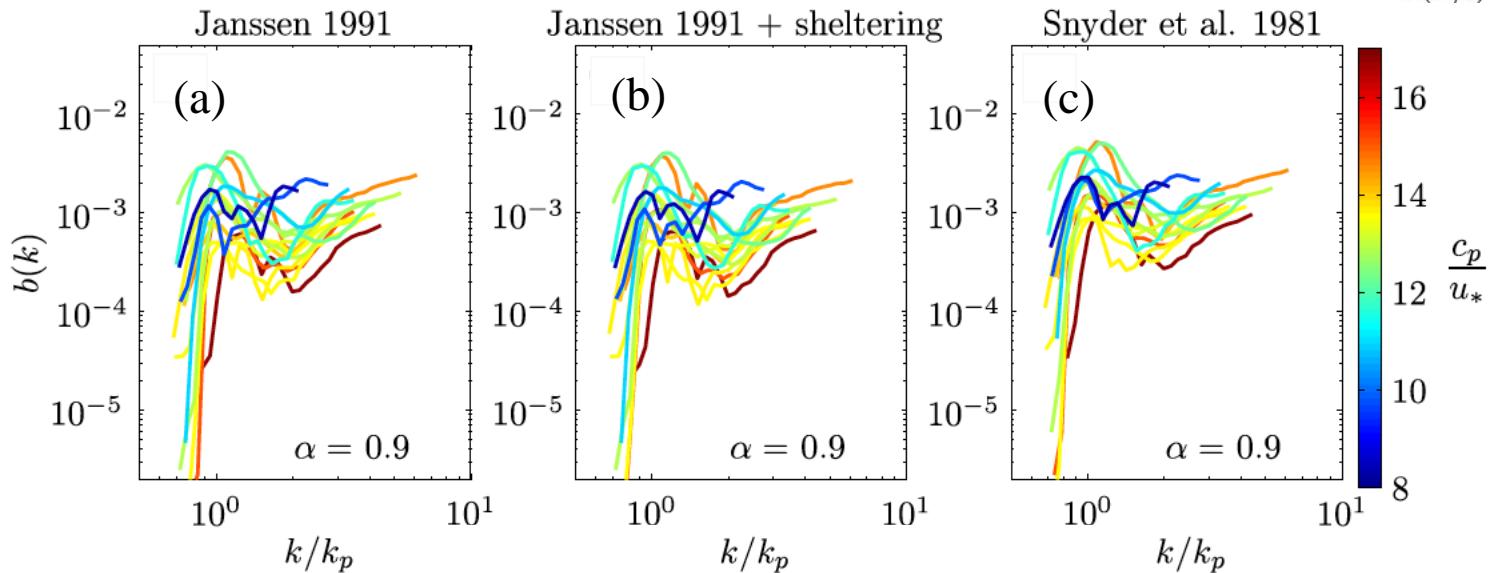
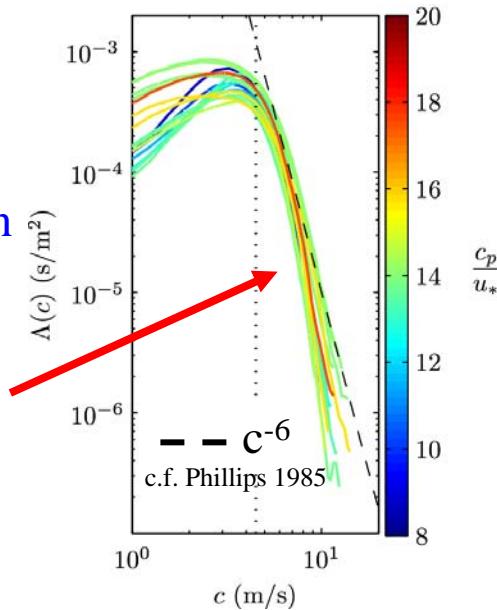
Spectral Breaking Strength Function $b(k)$

$$b(c) = \frac{g^2 S_{ds}(c)}{\Lambda(c)c^5} = \frac{g^2 S_{ds}(k)\partial k/\partial c}{\Lambda(c)c^5}$$

$S_{ds}(k) = \int S_{ds}(k, \theta) k d\theta$: omnidimensional semi-empirical dissipation

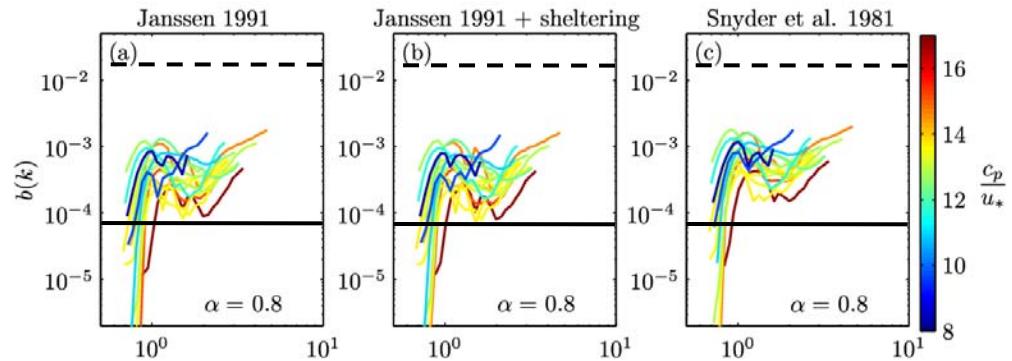
$\Lambda(c) = \int \Lambda(c, \theta) c d\theta$: omnidimensional distribution of breaking statistics (Kleiss and Melville, 2011)

$c = \sqrt{g/k}$: phase speed (linear dispersion relationship)





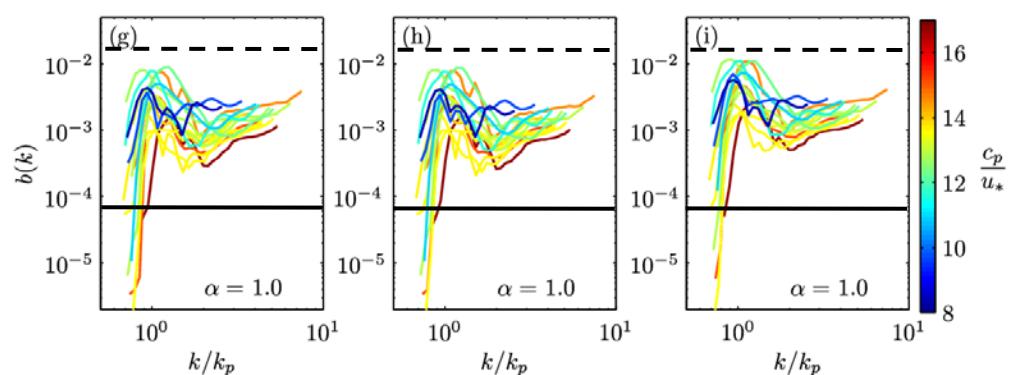
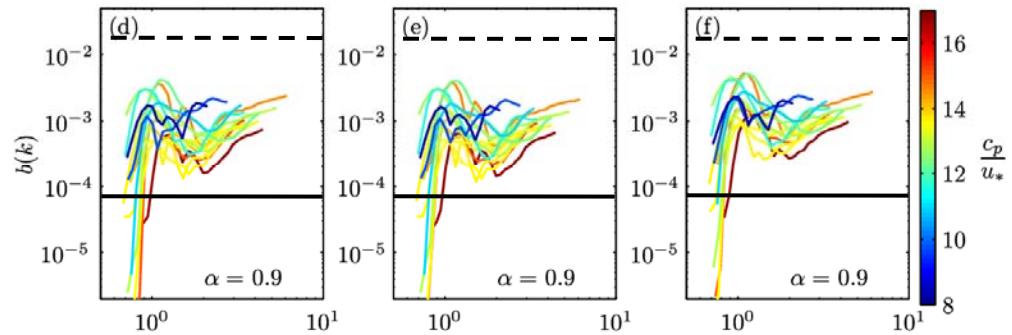
Sensitivity of $b(k)$ to the value of α



Average values of b obtained by:

— Gemmrich, et al. (2008)

- - - Thomson et al. (2009)



$$c_{br} = \alpha c$$



Independent Model of $b(k)$

$$b = A_l (S - S_T)^{5/2} \quad \text{Inertial scaling from laboratory experiments}$$

$B(k) = \int F(k, \theta) k^4 d\theta$: one-dimensional spectral saturation, which is a bandwidth-independent measure of the spectral steepness squared (Banner et al. 2000; Banner et al. 2002).

$$\text{mss} = \int B(k) \frac{dk}{k} \quad \text{Mean Square Slope}$$

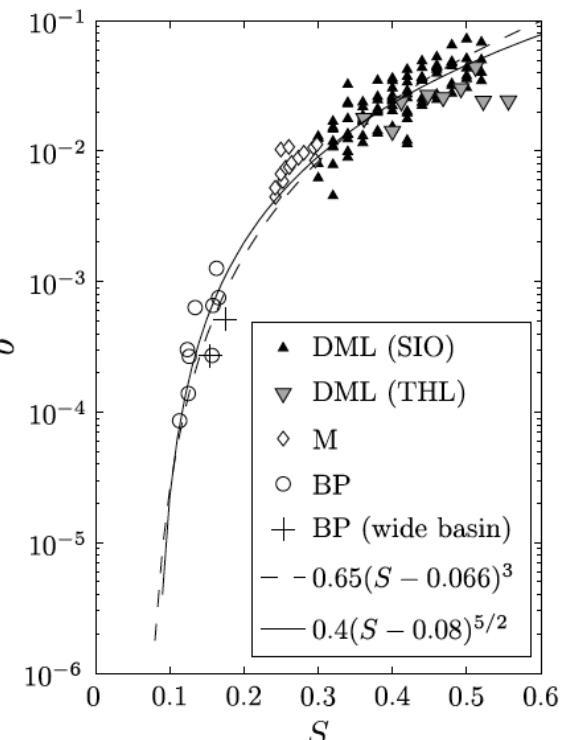
$$b(k) = A (B^{1/2} - B_T^{1/2})^{5/2} : \text{Model of } b(k)$$

$$\left. \begin{array}{l} A \approx 3.9 - 5.5 \\ B_T \approx 0.8 \times 10^{-3} - 1.6 \times 10^{-3} \end{array} \right\} \text{Fits to the field data}$$

-BP : Banner and Peirson 2007

-M: Melville 1994

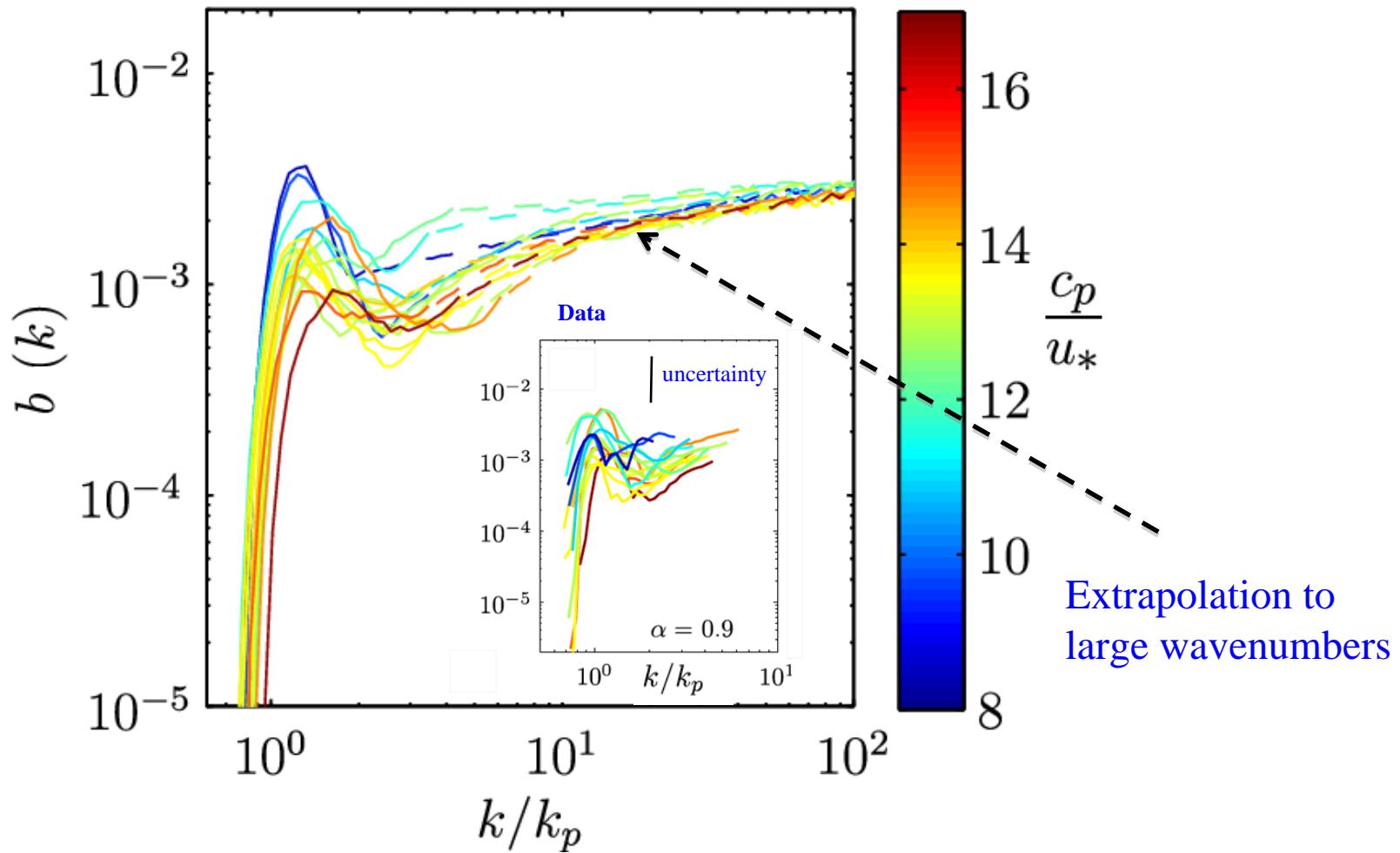
-DML: Drazen, Melville, and Lenain 2008





Model of $b(k)$

$\alpha = 0.9$, S_{in} : Snyder 1981





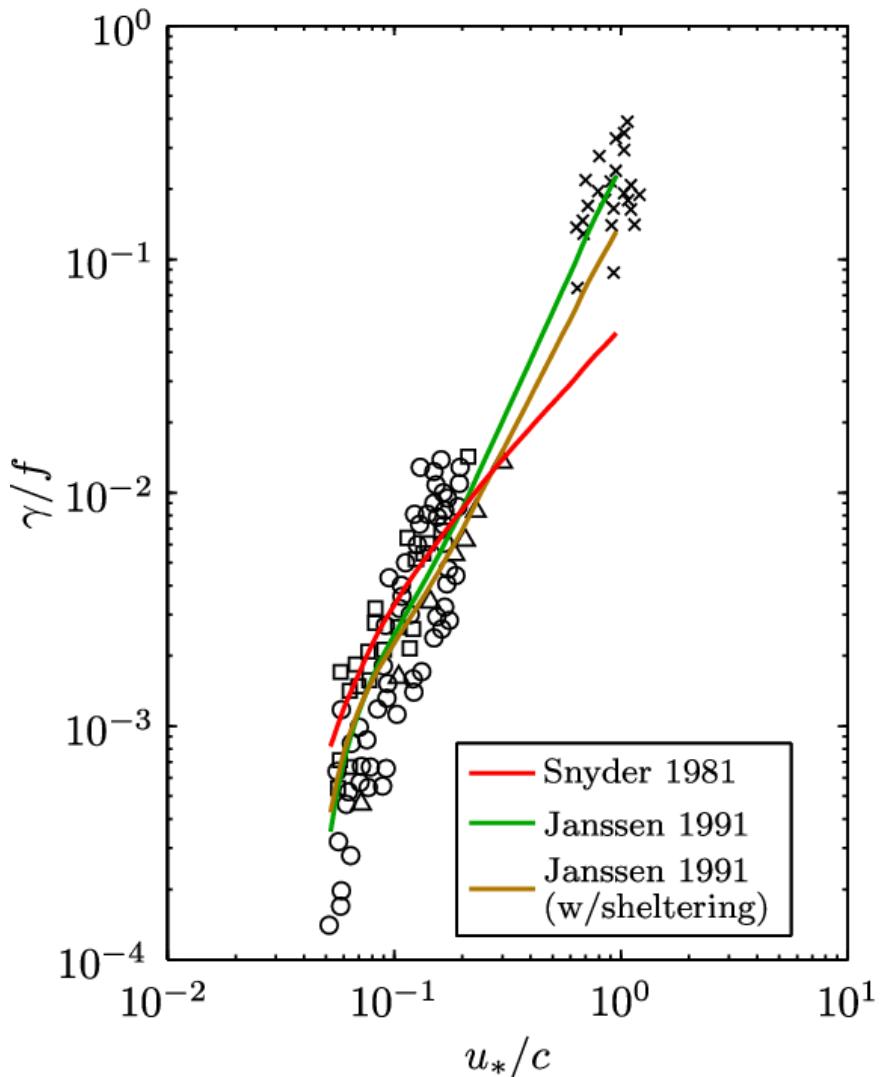
Concluding Remarks

- First quantification of the spectral strength of breaking in the field
- $b(k)$ is not a constant, it varies by up to an order of magnitude between the spectral peak and high wavenumbers.
- Based on laboratory measurements and the inertial scaling by Drazen et al. (2008) a spectral model of $b(k)$ with a saturation threshold behavior was fitted to the data.
- This work is currently in review for publication in JPO.



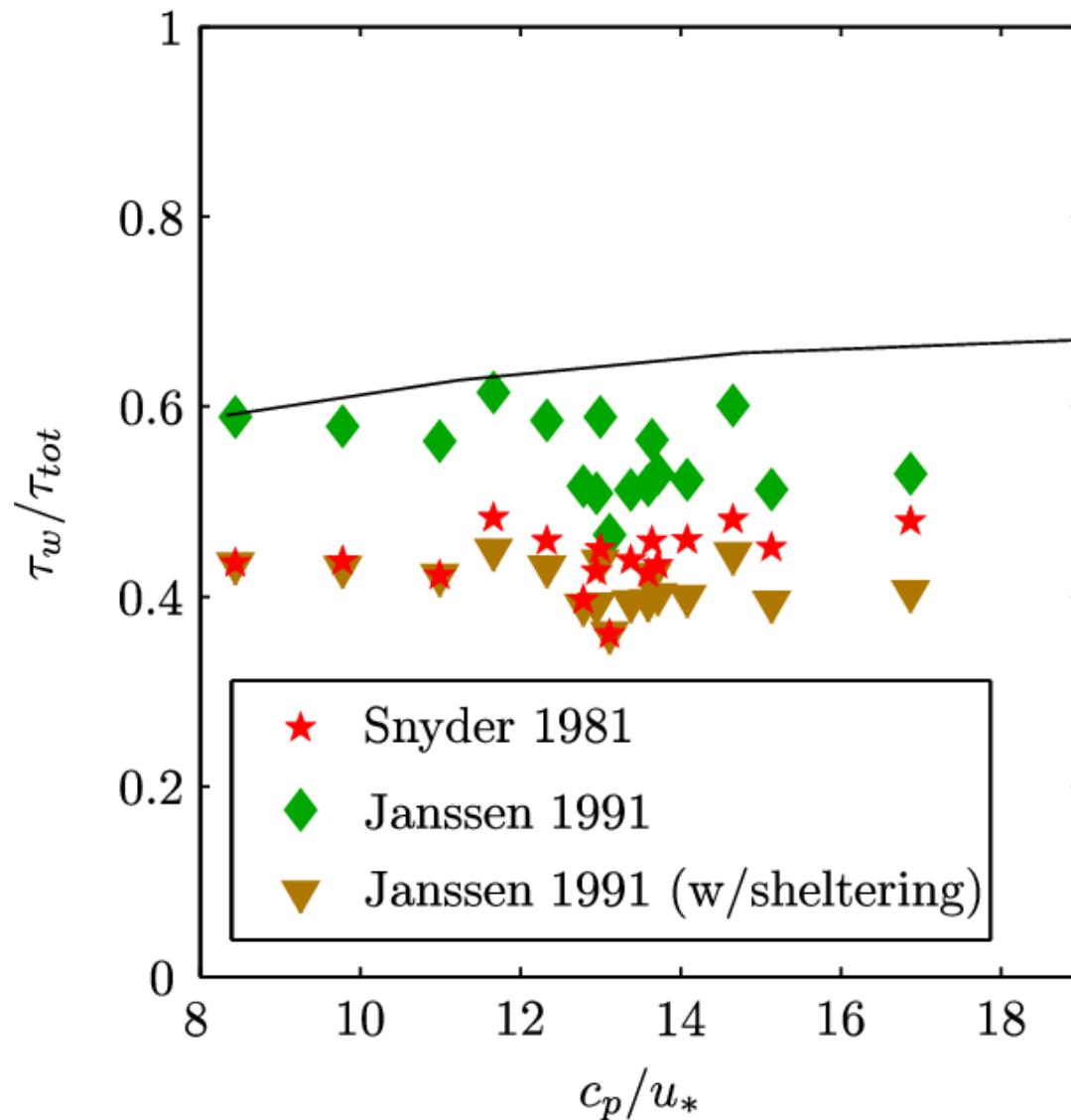


Dimensionless Growth Rate





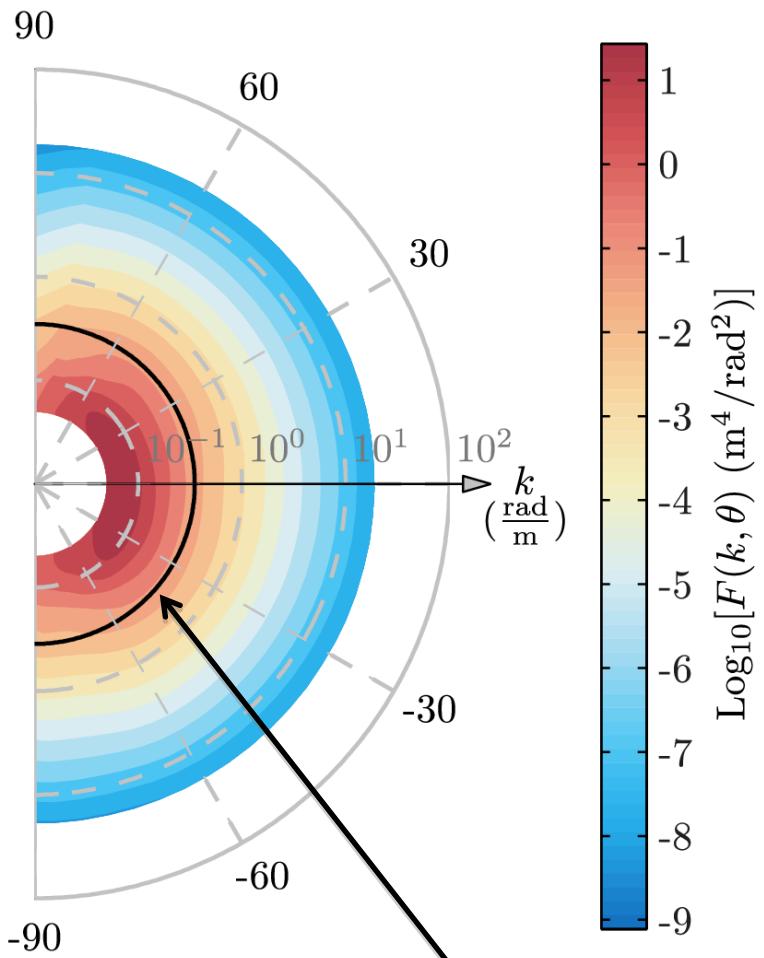
Wave Induced Momentum



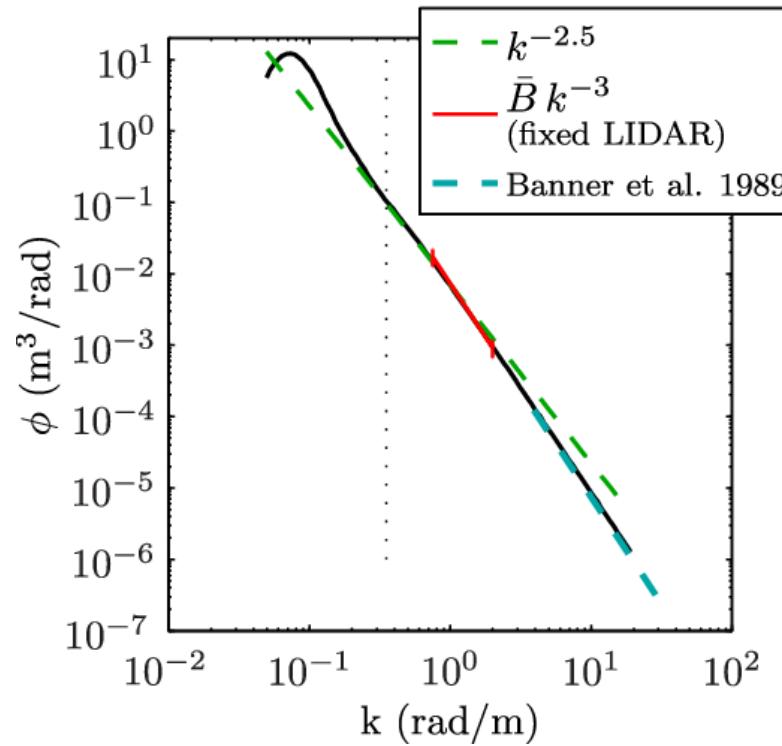


Extrapolation of Spectrum to Large Wavenumbers

(15.32°N, 95.14°W), $c_p/u_* = 15$



Limit of scanning lidar data



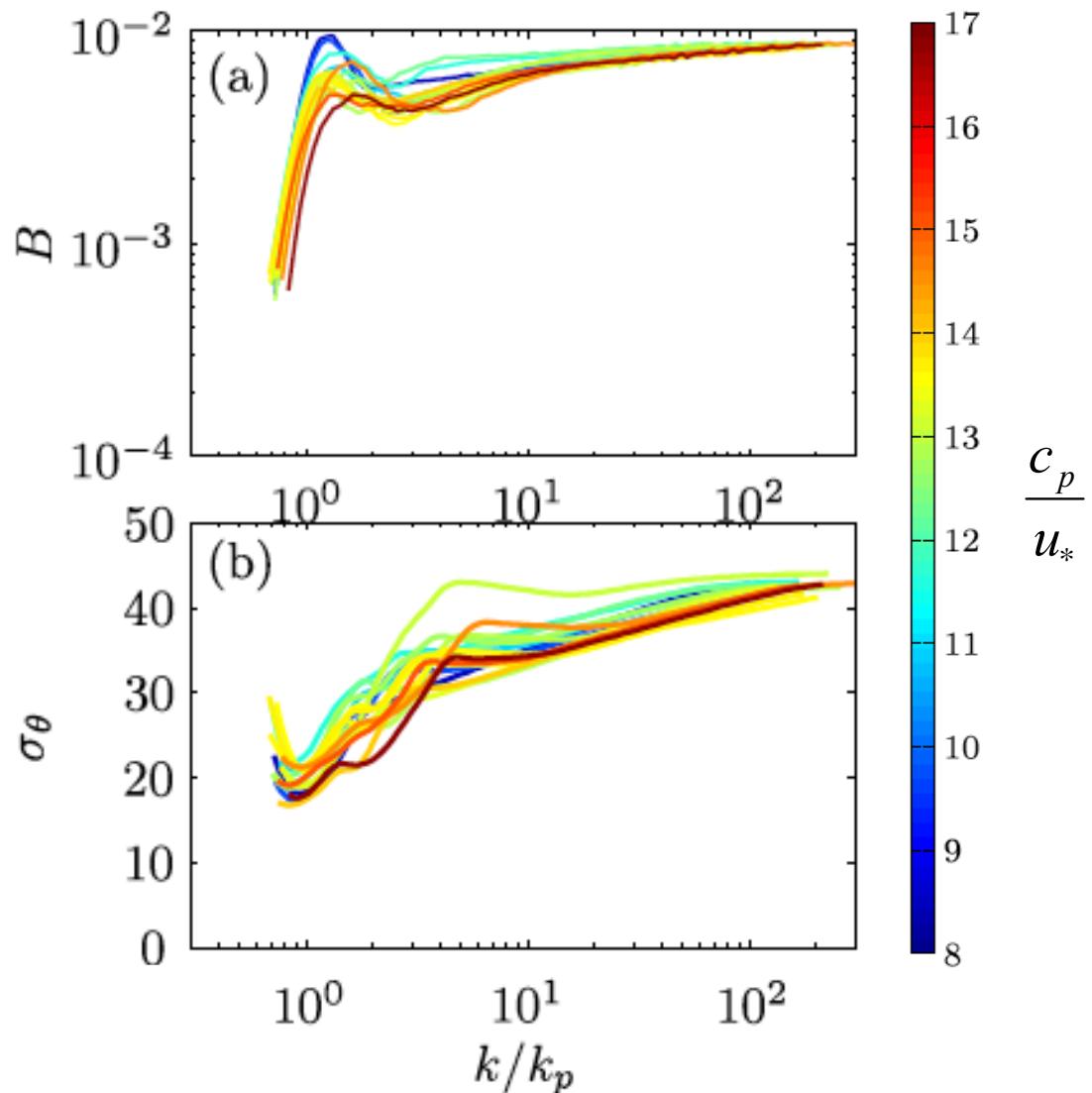
- Calculation of S_{nl} require a broad bandwidth



One-dimensional Saturation and Directional Spreading

$$B(k) = \int F(k, \theta) k^4 d\theta$$

$$\sigma_\theta(k) = \frac{\int F(k, \theta) |\theta| d\theta}{\int F(k, \theta) d\theta}$$

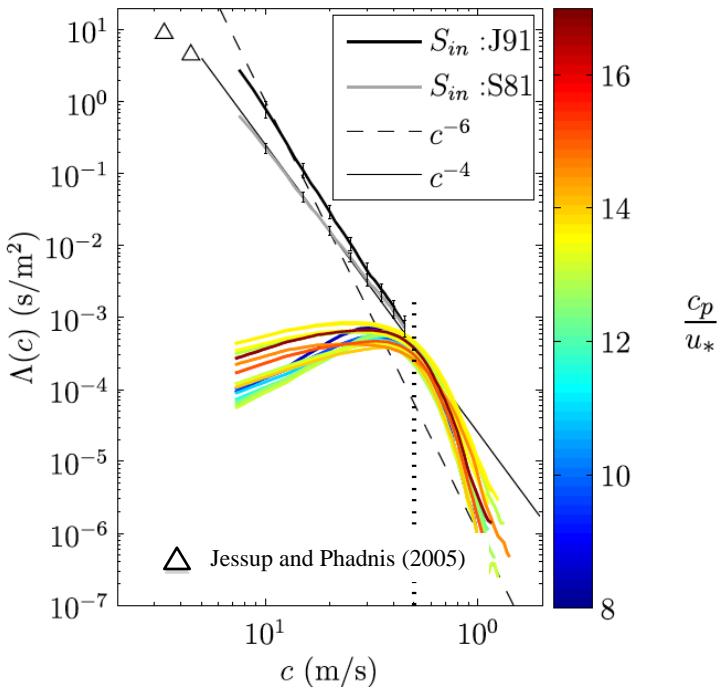




Future Work

- Need to extend this work to lower values of c .

$$\rho g S_{ds}(c) dc = b \frac{\Lambda(c)c^5}{g} dc$$



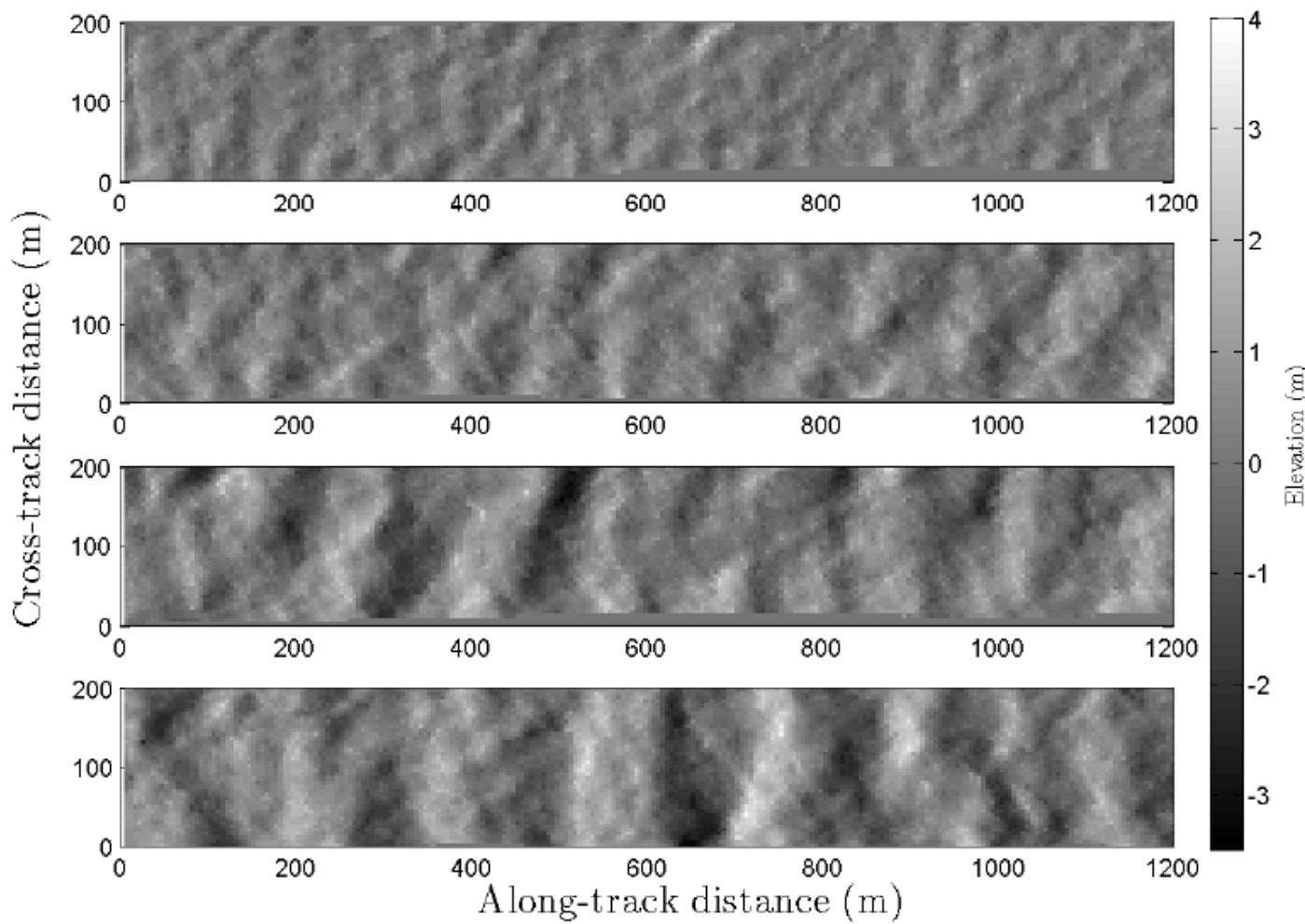
- Other regimes:
 - Extreme wind forcing
 - Broader range of wave age
 - Areas with significant wave current interaction.





Surface Topography Data

Offshore
Distance (km)





Motivation

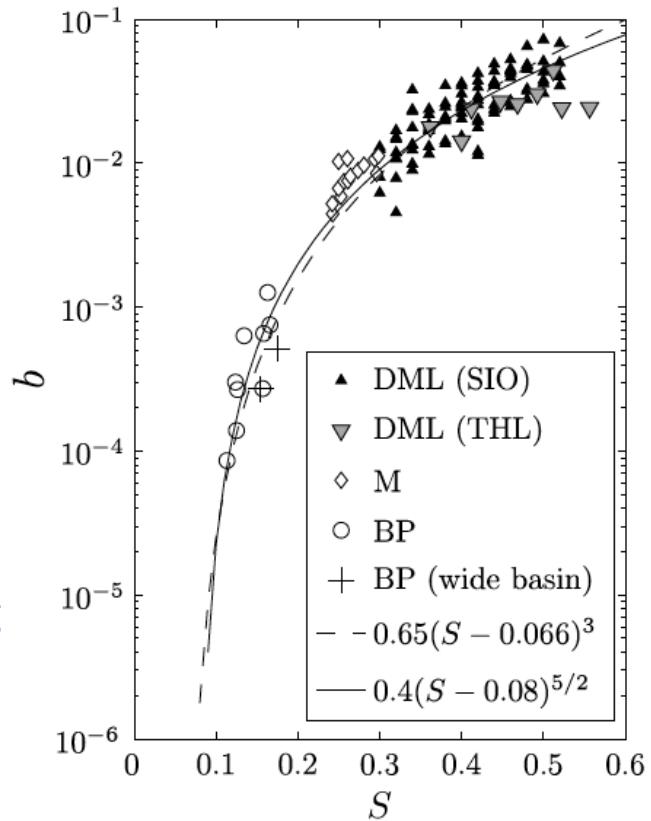
*Duncan, 1981

$$\varepsilon_l = \frac{b \rho_w c^5}{g}$$

ε_l : energy dissipation per unit length

b : empirical parameter characterizing the **strength of breaking**

c : wave phase speed



S : predicted linear wave slope of the focusing wave packets

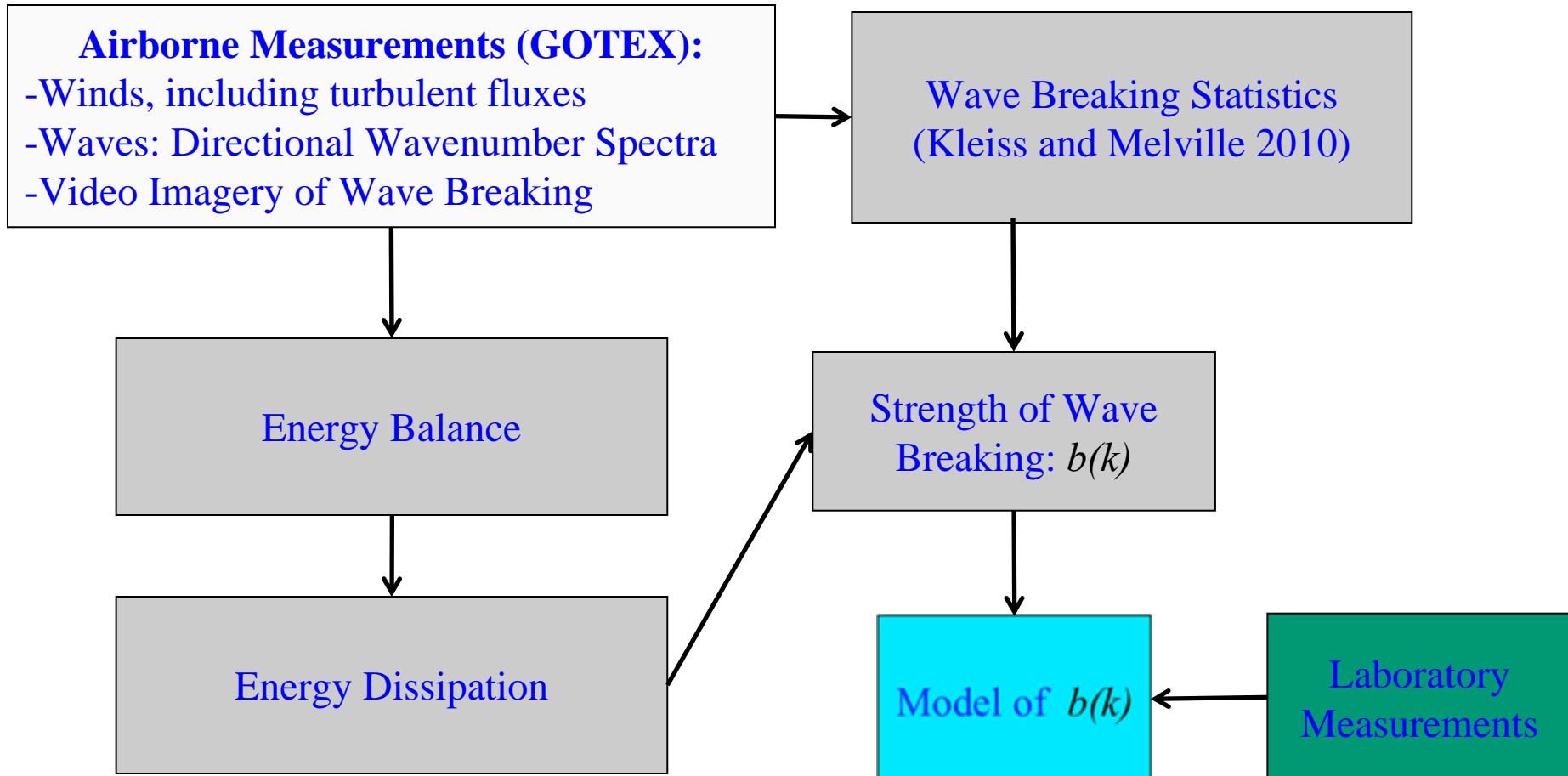
-BP : Banner and Peirson 2007

-DML: Drazen, Melville, and Lenain 2008

- b is not a constant, spans over three orders of magnitude
- The data shows a threshold behavior $b = A (S - S_T)^N$, with $N=5/2$ which is consistent with the inertial scaling by DML.
- **The goal is to determine and model $b(k)$ from field observations**



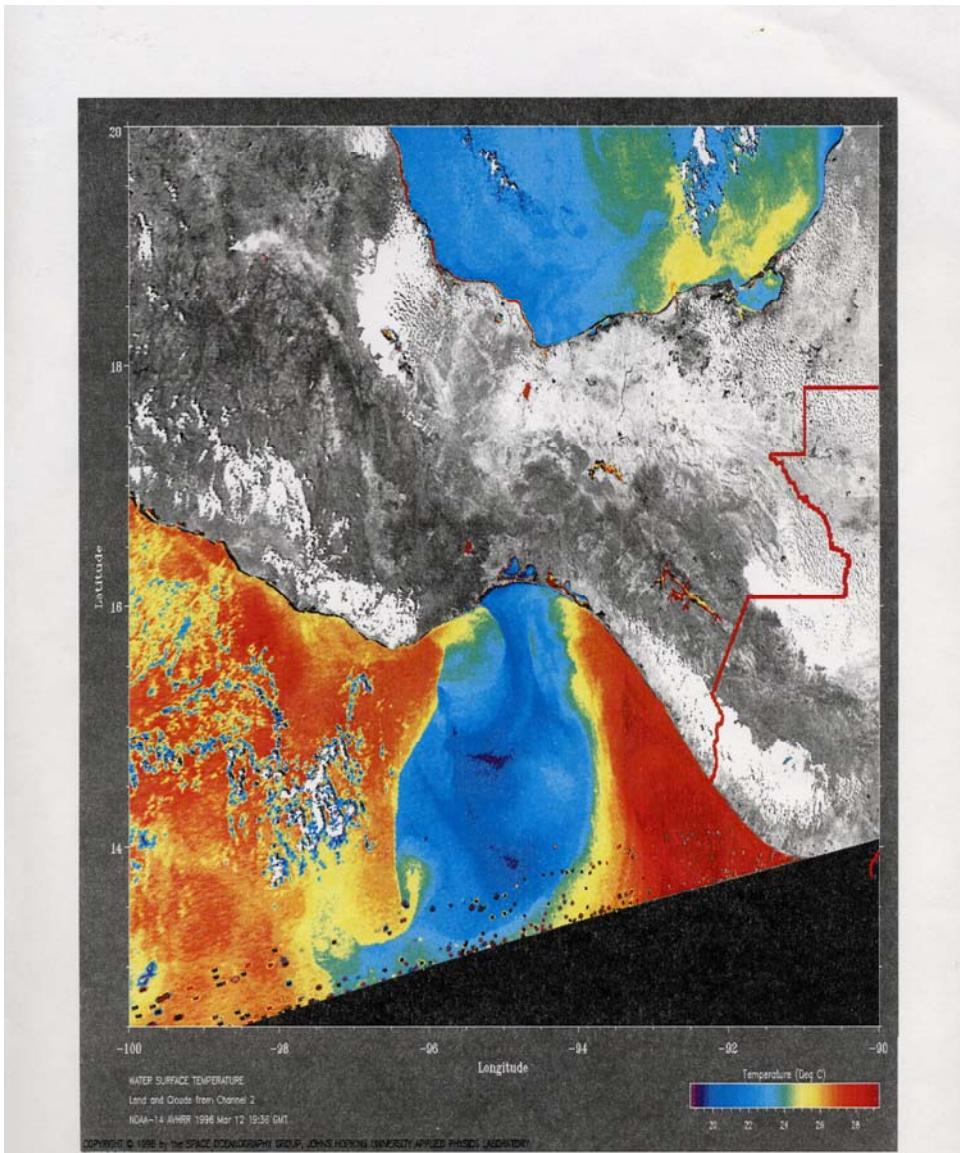
Quantifying the Strength of Wave Breaking in the Field $b(k)$



*The model of b would allow the prediction of the statistics of breaking waves in the field

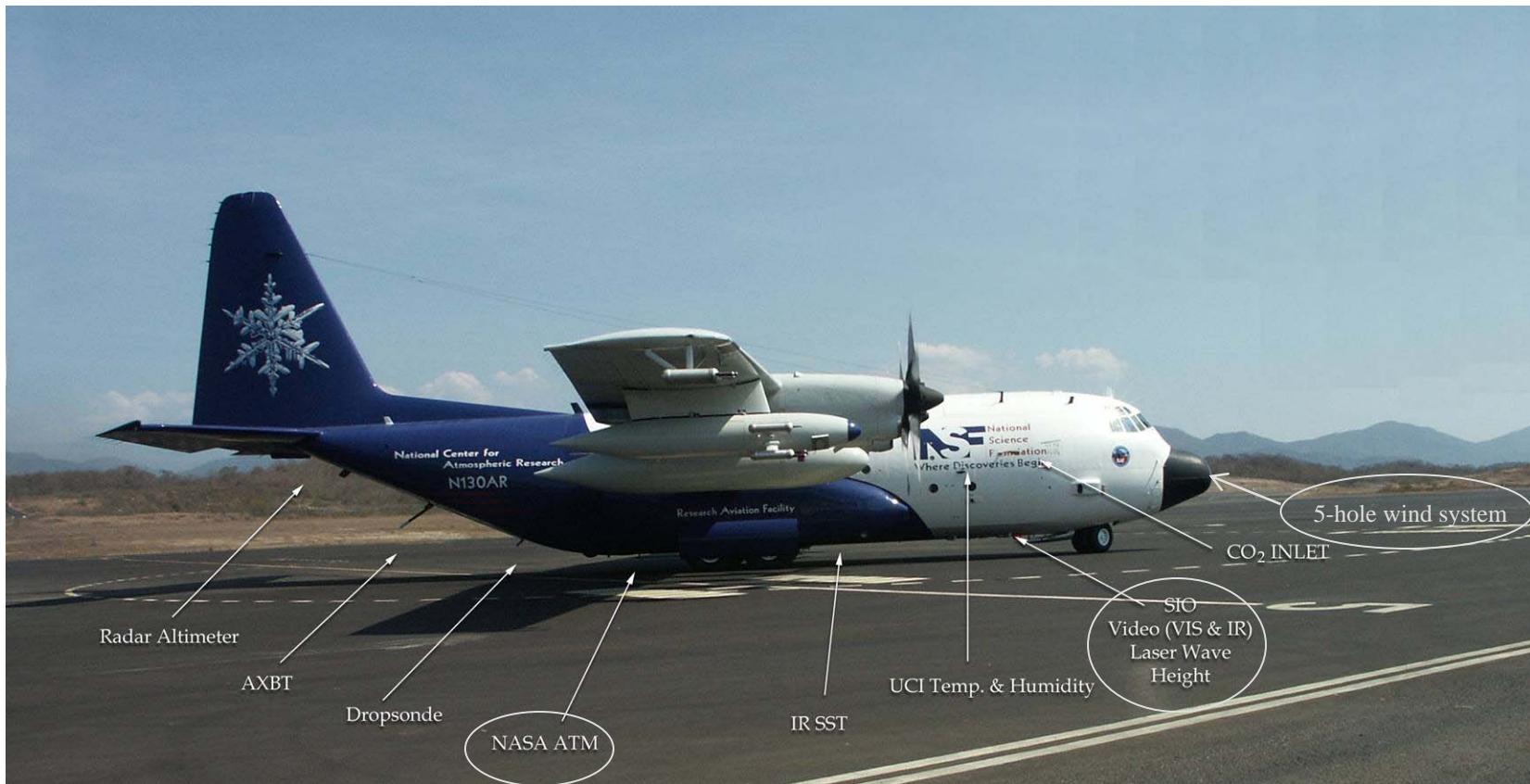


SST associated with upwelling due to gap winds in the Gulf of Tehuantepec



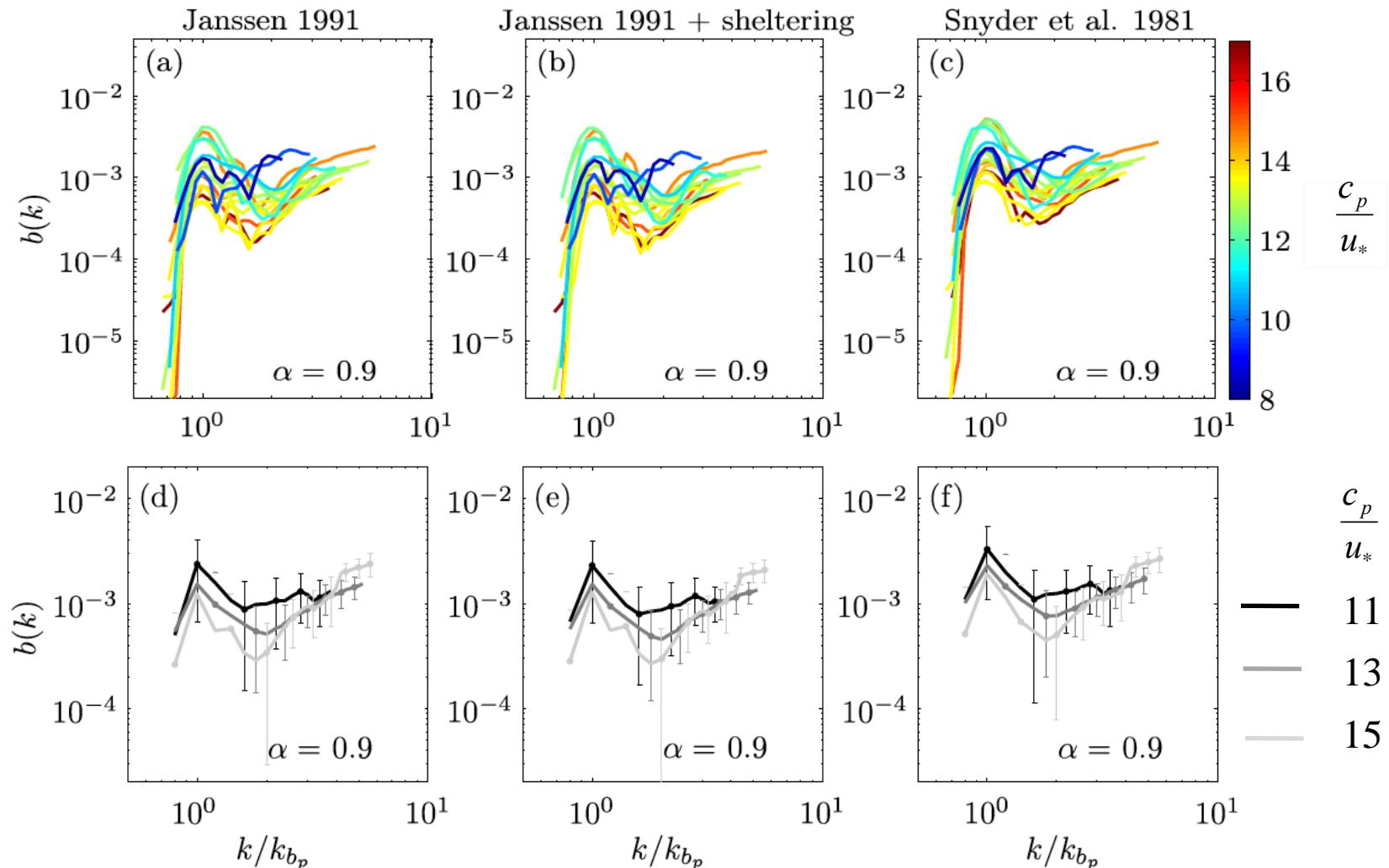


NSF/NCAR C-130





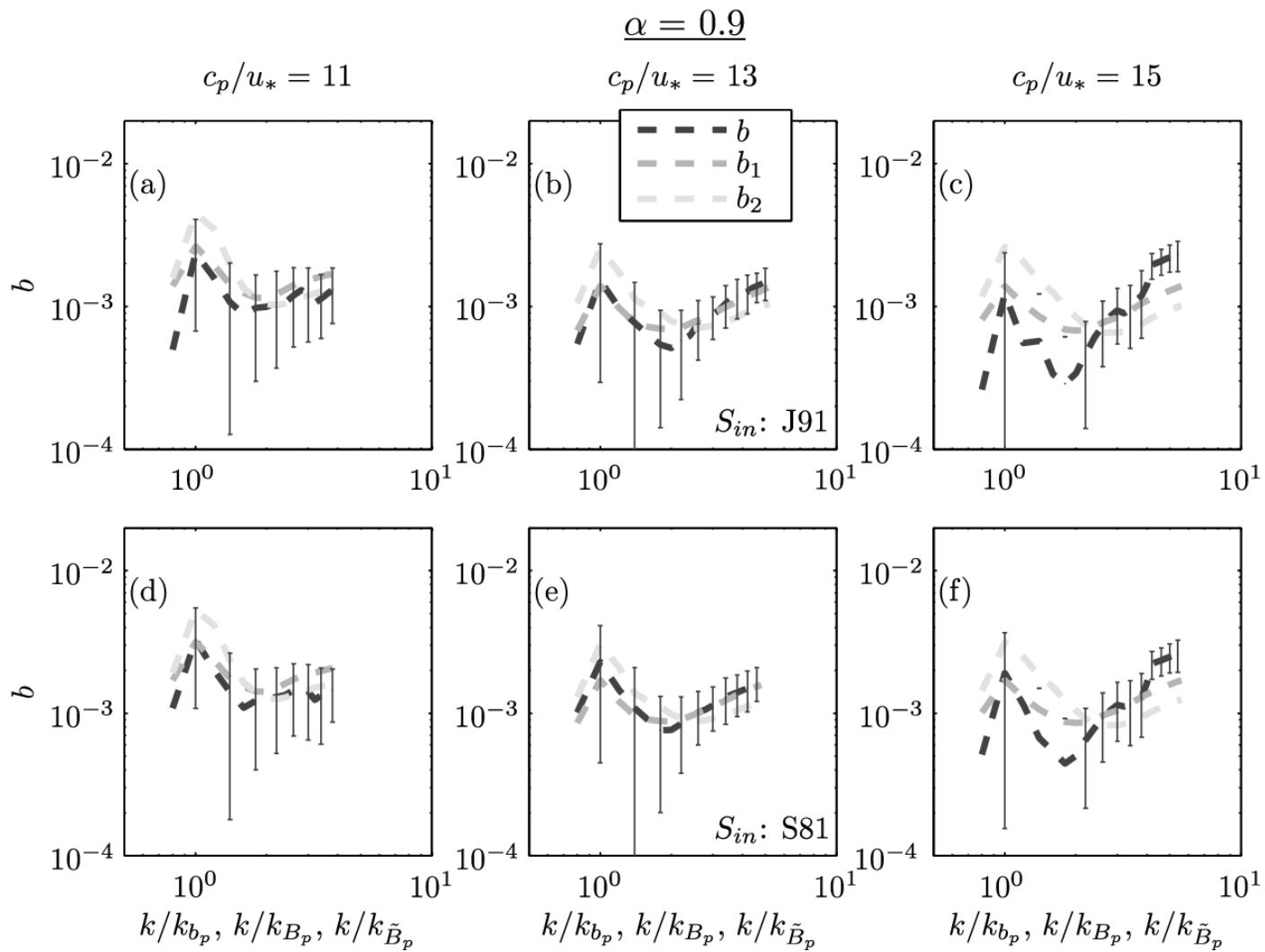
Bin-Averaging and Error Propagation



k_{b_p} is the wavenumber at the peak of $b(k)$



b(k) Models and Field Data





Models of $b(k)$

$$b_1(k) = A_1(B(k)^{1/2} - B_T(k)^{1/2})^{5/2}$$

$$b_2(k) = A_2(\bar{B}(k)^{1/2} - \bar{B}_T(k)^{1/2})^{5/2}$$

TABLE 1. Average and standard deviation of the parameters of models $b_1(k)$ and $b_2(k)$ in equations (26) and (27) fitted against the bin-averaged data of $b(k)$. The mean and standard deviation values given correspond to the mean and standard deviation of the parameters fitted for each bin-averaged distribution of $b(k)$

Parameter	Average	Std. Dev.	Average	Std. Dev.
	$\alpha = 0.9$		$\alpha = 1.0$	
<u>Janssen 1991</u>				
A_1	3.9	0.8	4.9	0.8
B_T	10.6×10^{-4}	2.0×10^{-4}	8.8×10^{-4}	1.2×10^{-4}
N_{w_1}	6.1	1.1	7.4	0.9
A_2	1.7	0.4	2.2	0.4
\bar{B}_T	2.1×10^{-3}	0.4×10^{-3}	1.6×10^{-3}	0.2×10^{-3}
N_{w_2}	3.2	0.5	4.0	0.5
<u>Snyder 1981</u>				
A_1	4.4	0.9	5.5	0.8
B_T	9.7×10^{-4}	1.8×10^{-4}	8.0×10^{-4}	1.0×10^{-4}
N_{w_1}	6.7	1.1	8.1	1.0
A_2	1.9	0.4	2.5	0.4
\bar{B}_T	1.9×10^{-3}	0.3×10^{-3}	1.5×10^{-3}	0.2×10^{-3}
N_{w_2}	3.5	0.6	4.4	0.6



Phillips' (1985) Equilibrium Range Model

$$S_{in} + S_{ds} + S_{nl} = 0$$

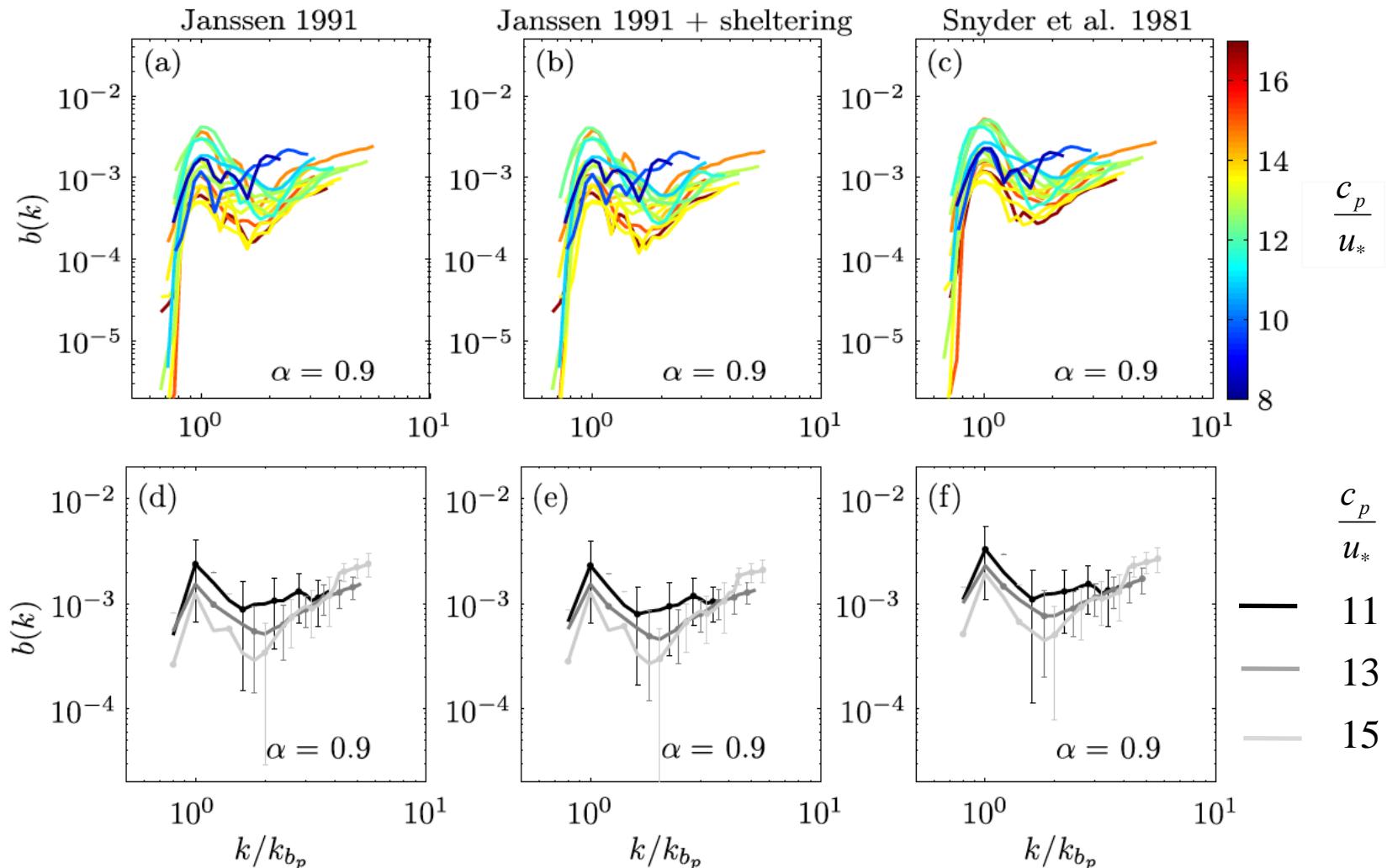
$$|S_{in}| \sim |S_{ds}| \sim |S_{nl}|$$

- Based on empirical knowledge of the spectrum, wind input by Plant (1982), and scaling of $S_{nl} \rightarrow S_{ds}$
- Prediction of the spectral statistics of breaking front per unit surface area $\Lambda(c)$ using a constant value of the breaking parameter b .

$$\rho g S_{ds}(c) dc = b \frac{\Lambda(c) c^5}{g} dc \rightarrow \Lambda(c) \propto c^{-6}$$



Bin-Averaging and Error Propagation



k_{b_p} is the wavenumber at the peak of $b(k)$



Energy dissipation

$$\rho_w g S_{ds}(c) dc = \rho_w \frac{b(c)}{g} \Lambda(c) c^5 dc$$

- $b(c)$ - strength of wave breaking

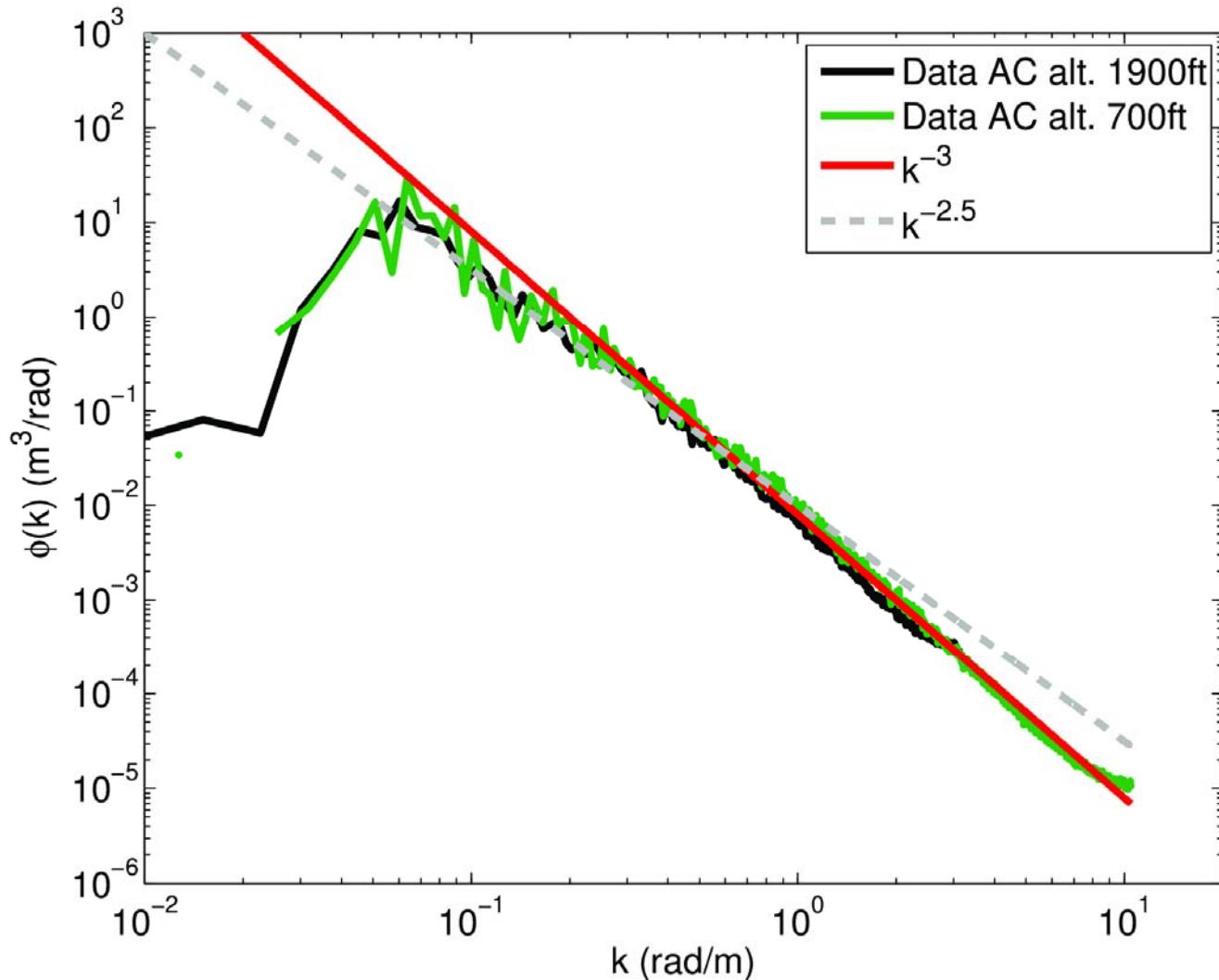
Momentum loss due to wave breaking

$$\rho_w g \frac{S_{ds}(c)}{c} dc = \rho_w \frac{b(c)}{g} \Lambda(c) c^4 dc$$

- $\Lambda(c_{br})$ quantified from the GOTEX video observations in Kleiss and Melville 2011.

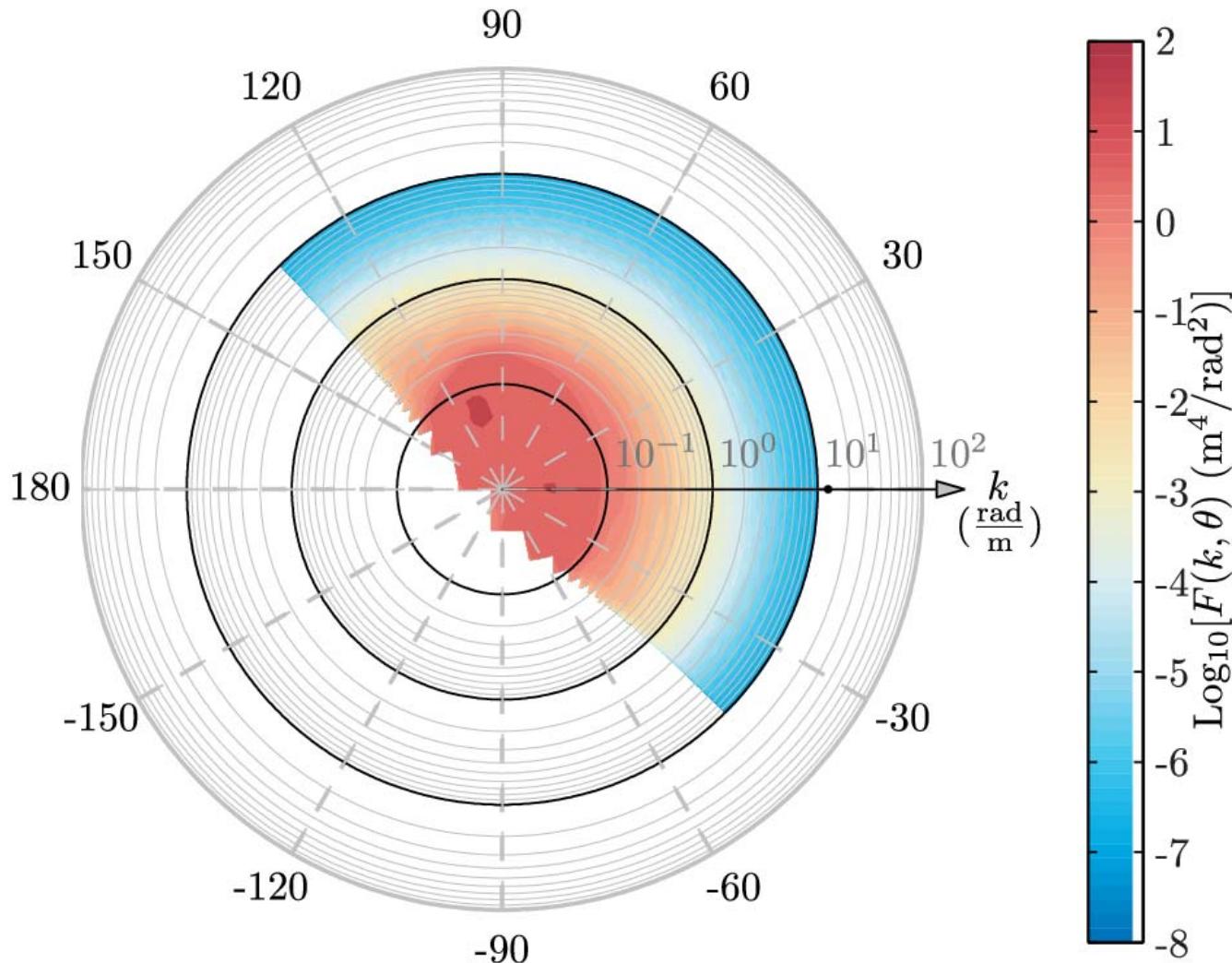


Gulf of Mexico (October 2011)



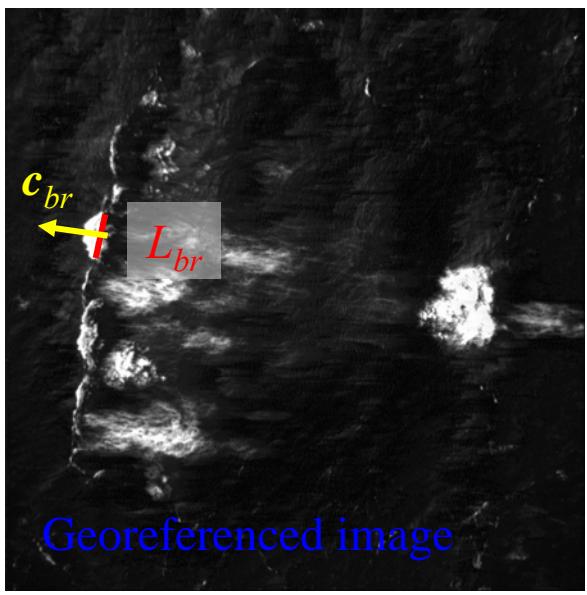


Gulf of Mexico (October 2011)





Wave Breaking Statistics



$\Lambda(c_{br})$: length of breaking fronts with velocity in the range $(c_{br}, c_{br} + \Delta c_{br})$ per unit surface area.

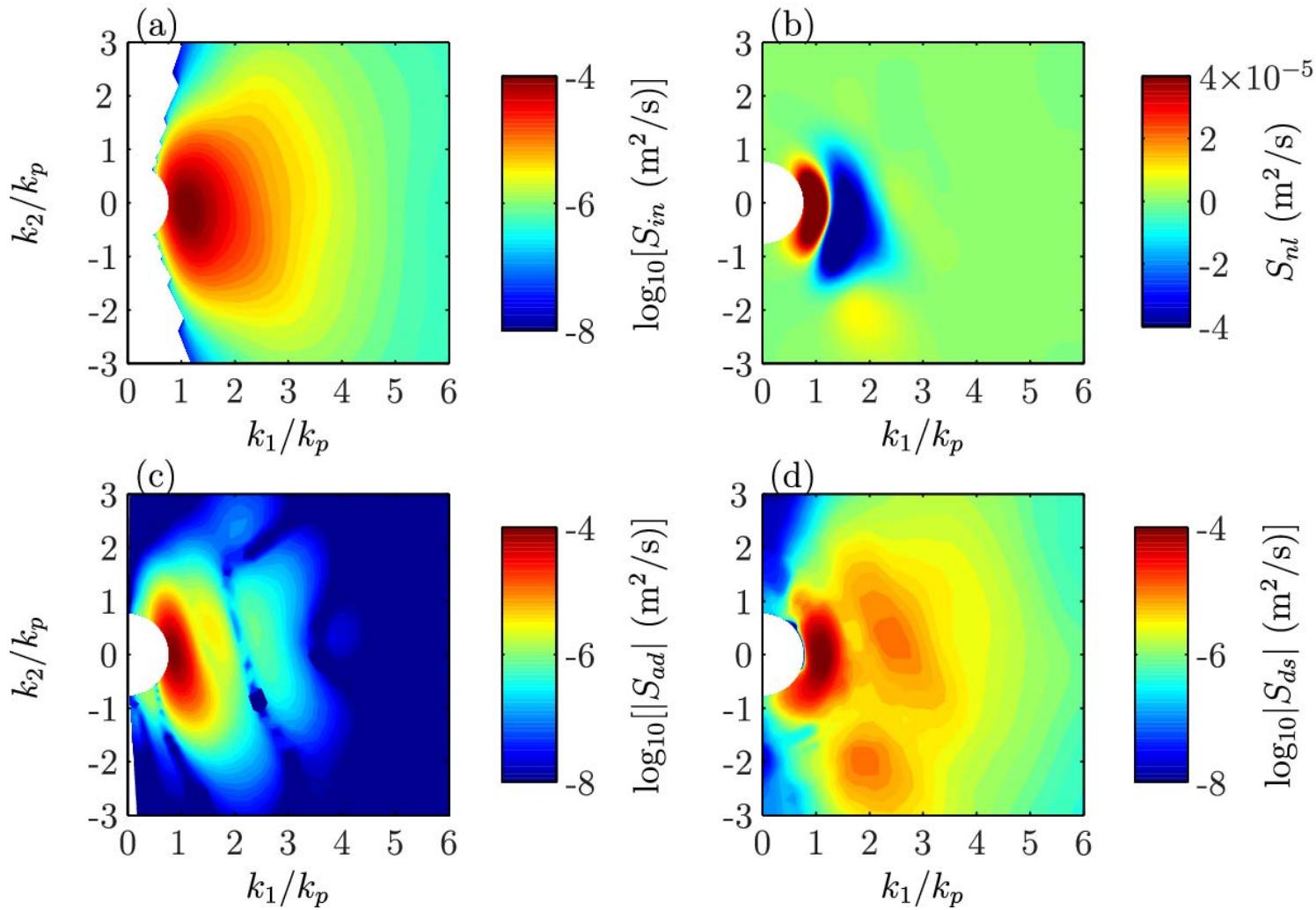
$$\Lambda(c_{br}) = \frac{\sum L_{br} (c_{br} - \frac{\Delta c_{br}}{2} < c < c + \frac{\Delta c_{br}}{2})}{A_{tot} \Delta c_{br}}$$

L_{br} is the length of each breaking crest moving with velocity $(c_{br}, c_{br} + \Delta c_{br})$
 A_{tot} the total surface area

- $\Lambda(c_{br})$ and its moments provide a framework to relate the kinematics and dynamics of breaking waves through a dimensionless function $b(c_{br})$ (Phillips 1985)

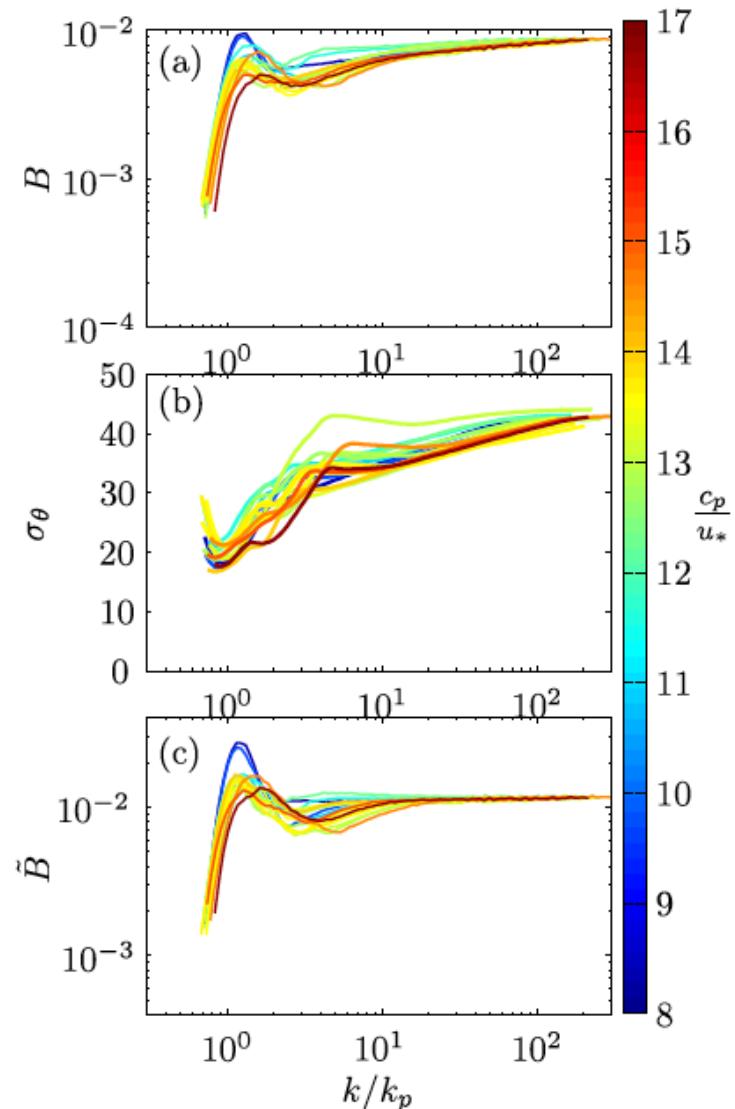


Directional Source Terms



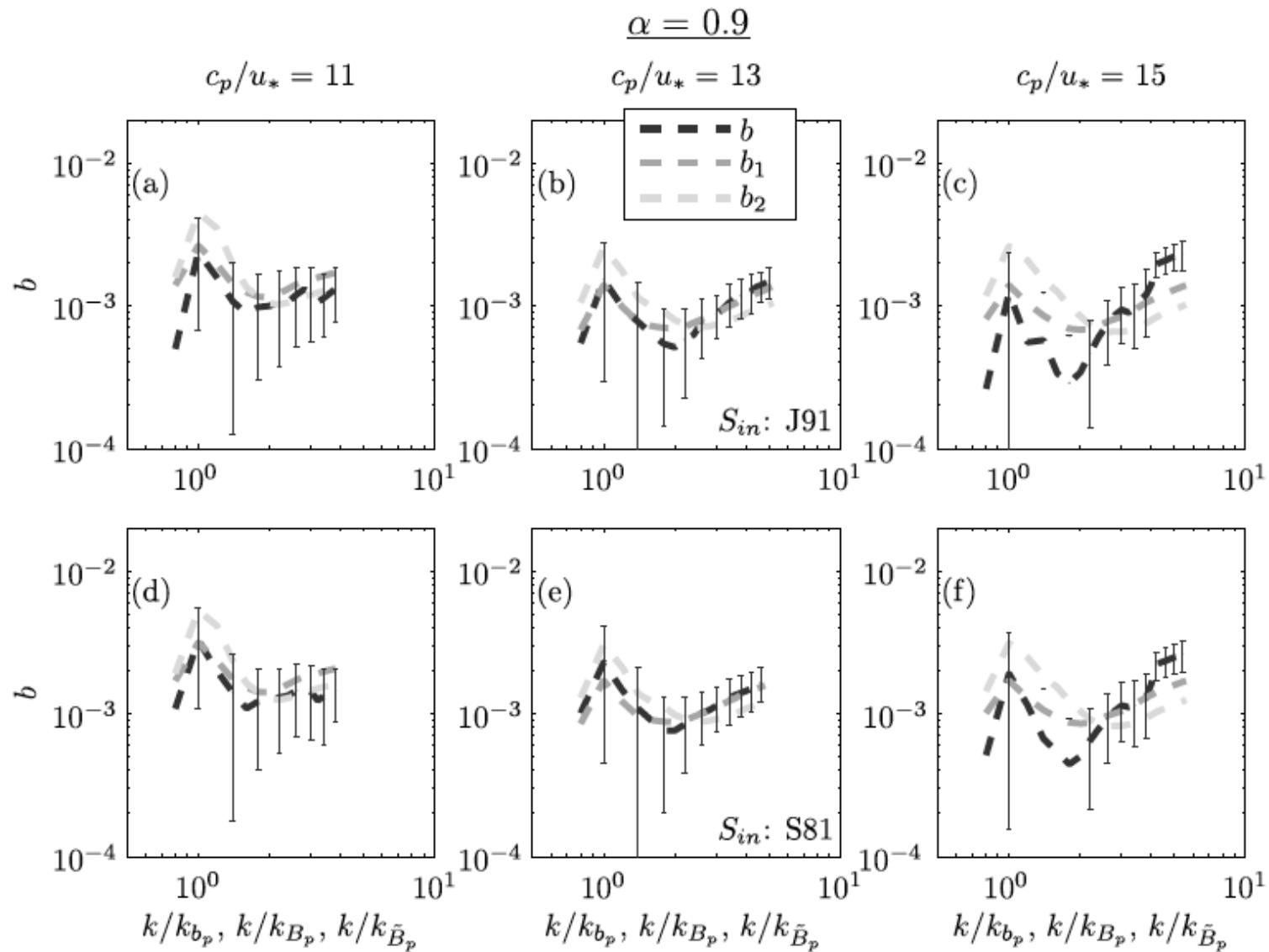


One-dimensional Saturation and Directional Spreading



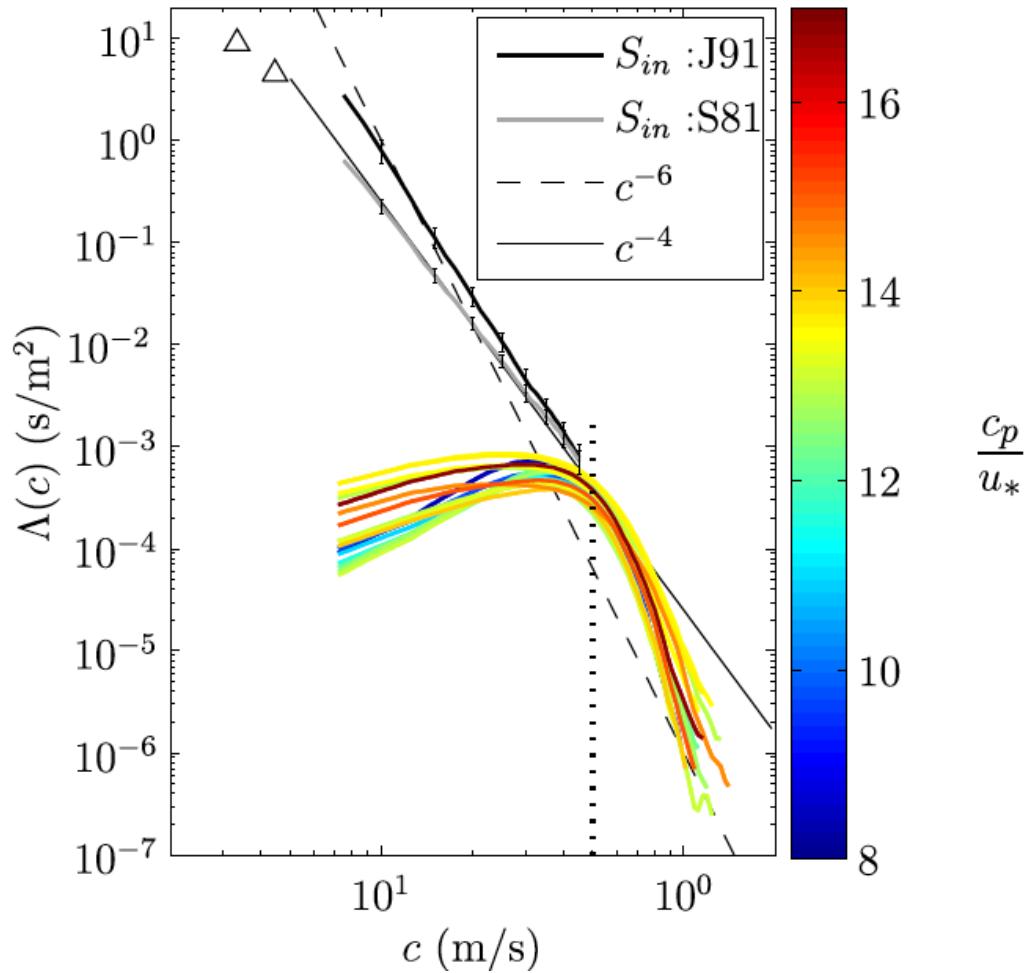


Strength of Breaking Model Fitting





Predictions of $\Lambda(c)$ Based on the Model of b at larger values of c





Gulf of Tehuantepec Experiment (GOTEX)

*February, 2004

Goals:

- Processes of **wave development and breaking**

Setting:

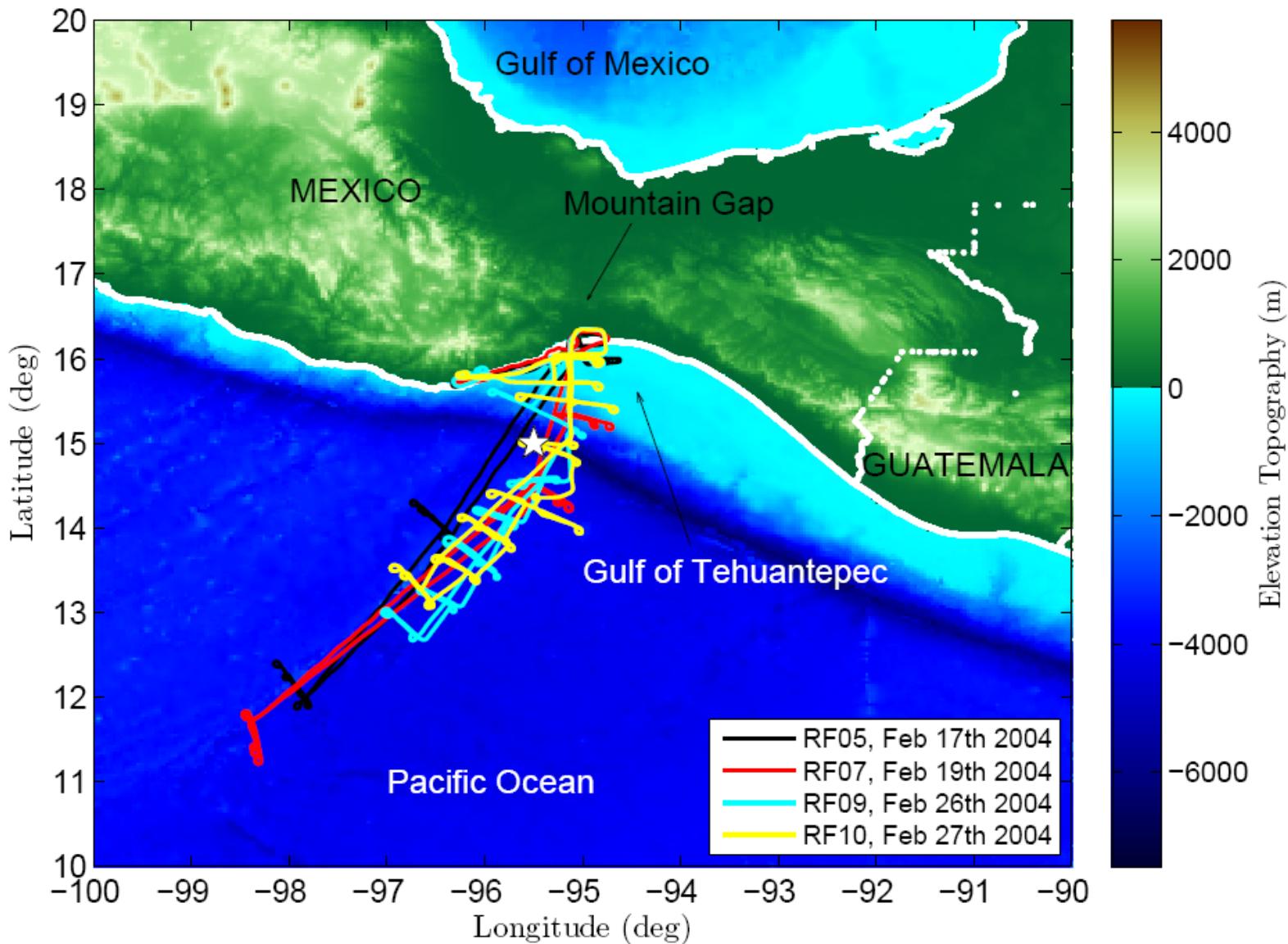
- Offshore, gale-force winds
- Predictable wind events with high probability
- Essentially open airspace

Platform:

- C-130 Aircraft based in Huatulco, Mexico



Flight Tracks





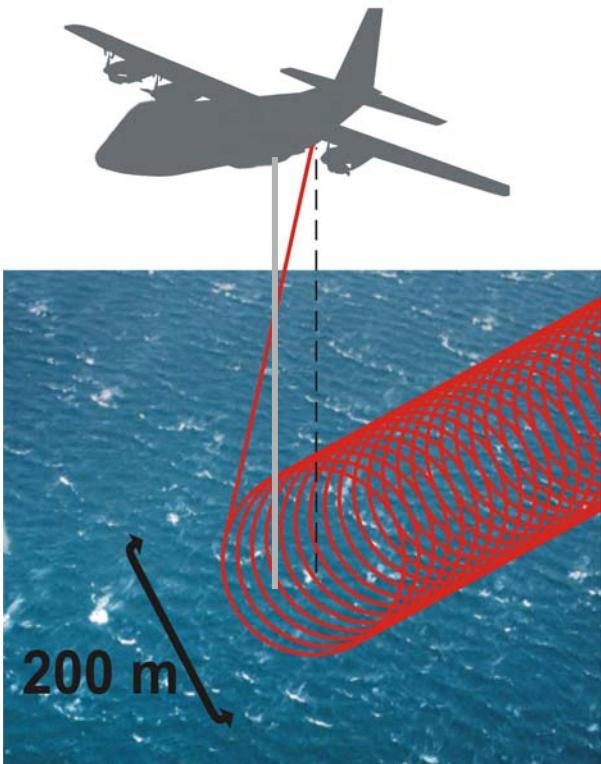
Airborne LIDARS

ATM

- Pulse rate: 5 khz
- Conical scanning rate: 20 hz
- Along track resolution: 5 m (at 100 m/s)
- Cross track resolution: 2.5 m
- Nominal altitude: 400 m
- Swath Width: 200m
- Calibrated error of elevation per pulse of approx 8 cm (Krabill & Martin, 1987)

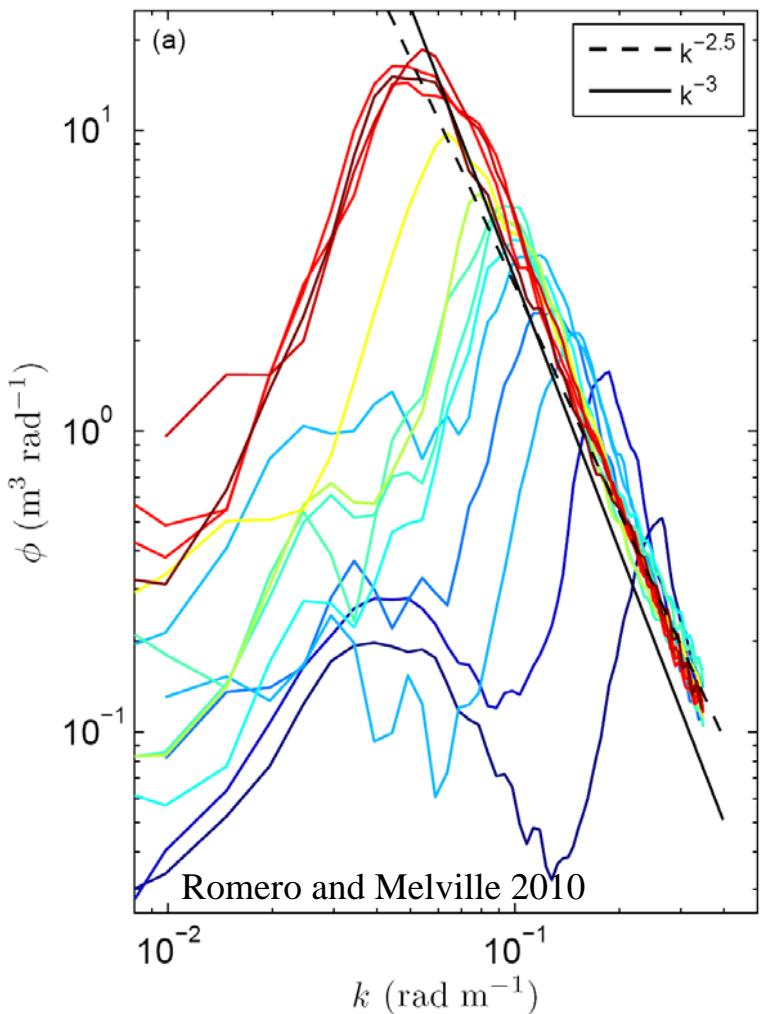
RIEGL

- Pulse Rate: 5khz
- Nominal altitude: 30 and 200m
- Net rms elevation error ~ 11cm





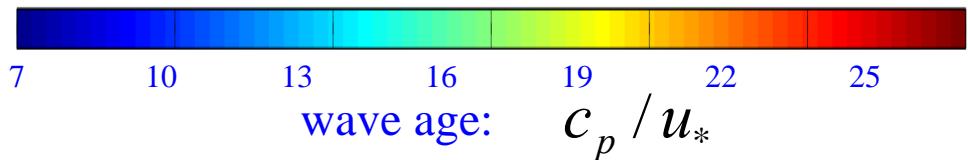
Omnidirectional Spectra



$$\phi(k) = \int_{-\pi/2}^{\pi/2} F(k, \theta) k d\theta$$

- $F(k, \theta)$: directional wavenumber spectrum
- Peak is enhanced at early stages of development.
- A $k^{-2.5}$ power-law is consistent with the equilibrium models by Phillips 1985, and Kitaiigorodski, 1983.

Young seas



Old seas

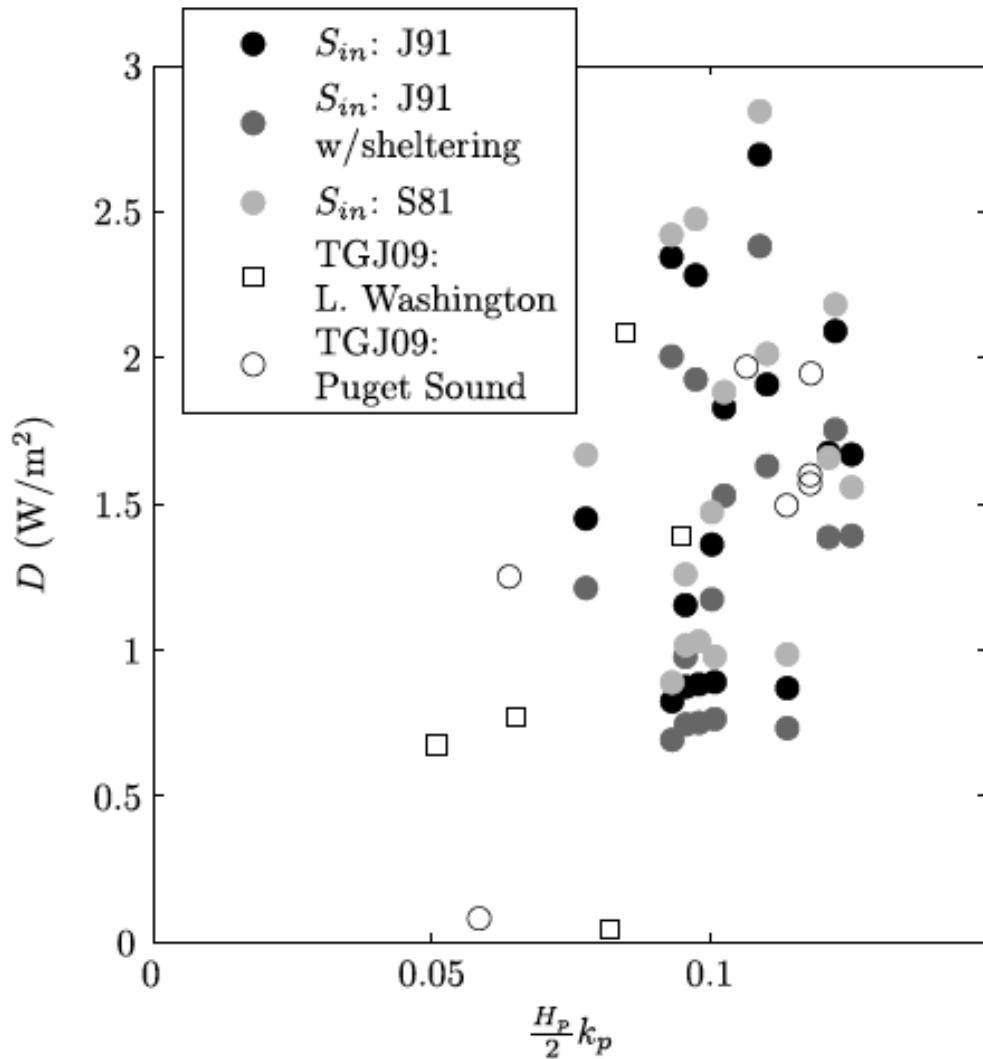


Implications for Wave – Current Interactions

- The model of $b(k)$ provides a rational framework to predict the statistics of breaking fronts in the field
 - Opens a way to include wave breaking effects in models of the upper ocean circulation (e.g. Sullivan et al. 2007, Restrepo 2007, and Restrepo et al. 2010)

Other Applications

- Remote sensing
- Air-sea interaction (momentum flux, whitecapping coverage, aerosol productions, gas exchange)





Directional Source Terms

