Representation of the Broad-Scale Spectral Form in the Two-Scale Approximation for the Full Boltzmann Integral

> Charles E. Long, US Army ERDC-CHL, Duck, NC (US) Donald T. Resio, US Army ERDC-CHL, Vicksburg, MS (US)

11<sup>th</sup> International Workshop on Wave Hindcasting and Forecasting And 2<sup>nd</sup> Coastal Hazards Symposium

> Halifax, N.S., Canada October 18-23, 2009



### MOTIVATION

Nonlinear wave-wave interactions are a primary controlling mechanism in wave generation. The Two-Scale-Approximate (TSA) could help overcome existing problems with the representation of this term; however the transition of this approximation from a theoretical construct to an operational tool is still a "work in progress."



A fundamental question regarding the "operationalization" of the TSA is "how will certain pre-calculated matrices be handled and how much storage will be required to handle them?"

This paper will address these questions.

### **APPROACH:**

- Review the form of the TSA to determine how the formulation is posed in terms of the 2 interacting scales
- Examine the variability of observed directional spectra for the "simple" case of single-peaked wind seas
- Examine the ability of the 2<sup>nd</sup> scale within the TSA to capture the effects of deviations between the broad-scale spectral form and an actual spectrum
- Examine the effect of superposed swell wave trains upon the total wave-wave interactions
- Evaluate the relative merit of the TSA in terms of a source term for operational models

### CONCLUSIONS

- Directional spectra in coastal and offshore areas appear to follow consistent similarity forms of the type first hypothesized by Kitaigorodskii (1962) – even in finite-depth situations.
- For single peaked wind-sea spectra, two parameters appear sufficient to provide a "good" representation of the nonlinear interactions, spectral peakedness and relative depth.
- Storage requirements for pre-calculated terms and coefficients appear to be very manageable.
- The relaxation of a spectral perturbation estimated by the TSA is very close to that of the FBI
- Since wave generation in many situations follows a similarity basis (Badulin et al, 2008), the TSA offers an very good basis for a highly accurate representation of "typical" wave generation cases.
- Interactions with swell could be added for a small range of significance

## **RECENT PROGRESS IN TSA**

Timing studies – TSA faster than DIA

TSA moved from FBI to separate code

- transfer matrices developed
- scaling (finally) complete
- Initial 2 papers published JPO
  - Theory and hypothetical spectra
  - Real spectra
- next manuscript on spectral evolution (here)

## Basis for Two-Scale Approximation: Decompose spectrum into 2 parts – a Broad-Scale and a Perturbation Scale

$$\begin{split} N^{3} &= \hat{n}_{1}\hat{n}_{3}(\hat{n}_{4} - \hat{n}_{2}) + \hat{n}_{2}\hat{n}_{4}(\hat{n}_{3} - \hat{n}_{1}) + \\ &\quad n_{1}'n_{3}'(n_{4}' - n_{2}') + n_{2}'n_{4}'(n_{3}' - n_{1}') + \\ &\quad \hat{n}_{1}\hat{n}_{3}(n_{4}' - n_{2}') + \hat{n}_{2}\hat{n}_{4}(n_{3}' - n_{1}') + \\ &\quad n_{1}'n_{3}'(\hat{n}_{4} - \hat{n}_{2}) + n_{2}'n_{4}'(\hat{n}_{3} - \hat{n}_{1}) + \\ &\quad \hat{n}_{1}n_{3}'(\hat{n}_{4} - \hat{n}_{2}) + \hat{n}_{2}n_{4}'(\hat{n}_{3} - \hat{n}_{1}) + \\ &\quad n_{1}'\hat{n}_{3}(\hat{n}_{4} - \hat{n}_{2}) + n_{2}'\hat{n}_{4}(\hat{n}_{3} - \hat{n}_{1}) + \\ &\quad \hat{n}_{1}n_{3}'(n_{4}' - n_{2}') + \hat{n}_{2}n_{4}'(n_{3}' - n_{1}') + \\ &\quad \hat{n}_{1}n_{3}'(n_{4}' - n_{2}') + \hat{n}_{2}n_{4}'(n_{3}' - n_{1}') + \\ &\quad n_{1}'\hat{n}_{3}(n_{4}' - n_{2}') + n_{2}'\hat{n}_{4}(n_{3}' - n_{1}') + \\ &\quad n_{1}'\hat{n}_{3}(n_{4}' - n_{2}') + n_{2}'\hat{n}_{4}(n_{3}' - n_{1}') + \\ &\quad n_{1}'\hat{n}_{3}(n_{4}' - n_{2}') + n_{2}'\hat{n}_{4}(n_{3}' - n_{1}') + \\ &\quad n_{1}'\hat{n}_{3}(n_{4}' - n_{2}') + n_{2}'\hat{n}_{4}(n_{3}' - n_{1}') + \\ &\quad n_{1}'\hat{n}_{3}(n_{4}' - n_{2}') + n_{2}'\hat{n}_{4}(n_{3}' - n_{1}') + \\ &\quad n_{1}'\hat{n}_{3}(n_{4}' - n_{2}') + n_{2}'\hat{n}_{4}(n_{3}' - n_{1}') + \\ &\quad n_{1}'\hat{n}_{3}(n_{4}' - n_{2}') + n_{2}'\hat{n}_{4}(n_{3}' - n_{1}') + \\ &\quad n_{1}'\hat{n}_{3}(n_{4}' - n_{2}') + n_{2}'\hat{n}_{4}(n_{3}' - n_{1}') + \\ &\quad n_{1}'\hat{n}_{3}(n_{4}' - n_{2}') + n_{2}'\hat{n}_{4}(n_{3}' - n_{1}') + \\ &\quad n_{1}'\hat{n}_{3}(n_{4}' - n_{2}') + n_{2}'\hat{n}_{4}(n_{3}' - n_{1}') + \\ &\quad n_{1}'\hat{n}_{3}(n_{4}' - n_{2}') + n_{2}'\hat{n}_{4}(n_{3}' - n_{1}') + \\ &\quad n_{1}'\hat{n}_{3}(n_{4}' - n_{2}') + n_{2}'\hat{n}_{4}(n_{3}' - n_{1}') + \\ &\quad n_{1}'\hat{n}_{3}(n_{4}' - n_{2}') + n_{2}'\hat{n}_{4}(n_{3}' - n_{1}') + \\ &\quad n_{1}'\hat{n}_{3}(n_{4}' - n_{2}') + n_{2}'\hat{n}_{4}(n_{3}' - n_{1}') + \\ &\quad n_{1}'\hat{n}_{3}(n_{4}' - n_{2}') + n_{2}'\hat{n}_{4}(n_{3}' - n_{1}') + \\ &\quad n_{1}'\hat{n}_{3}(n_{4}' - n_{2}') + n_{2}'\hat{n}_{4}(n_{3}' - n_{1}') + \\ &\quad n_{1}'\hat{n}_{3}(n_{4}' - n_{2}') + n_{2}'\hat{n}_{4}(n_{3}' - n_{1}') + \\ &\quad n_{1}'\hat{n}_{3}(n_{4}' - n_{2}') + n_{2}'\hat{n}_{4}(n_{3}' - n_{1}') + \\ &\quad n_{1}'\hat{n}_{3}(n_{4}' - n_{2}') + n_{2}'\hat{n}_{4}(n_{3}' - n_{1}') + \\ &\quad n_{1}'\hat{n}_{3}(n_{4}' - n_{2}'$$

$$n = \hat{n} + n'$$

$$S_{nl}(f,\theta) = B + L + X$$

Line 1 contains interactions for only B Line 2 contains interactions for only L Lines 3-8 contain cross-interactions between B and L

## This approximation to the full integral would be exact if all terms were retained.

The fundamental idea here is to capture the broad-scale distribution of energy parametrically and to allow "local" differences to be treated as shown below. Terms that are neglected tend to contribute in a +/- sense around locus – "s."

This could be a DIA form or a diffusion operator, but we would lose considerable accuracy.  $\frac{\partial n_1}{\partial t} = B + \iint N_*^3 C \left| \frac{\partial W}{\partial n} \right|^{-1} ds \ k_3 d\theta_3 dk_3 + \dots$   $N_*^3 \text{ terms neglect terms containing } n'_2 \text{ and } n'_4 \text{ - retain } \hat{n}_2 \text{ and } \hat{n}_4$ 

$$N_*^3 = \hat{n}_2 \hat{n}_4 (n'_3 - n'_1) + n'_1 n'_3 (\hat{n}_4 - \hat{n}_2) + \hat{n}_1 n'_3 (\hat{n}_4 - \hat{n}_2) + n'_1 \hat{n}_3 (\hat{n}_4 - \hat{n}_2)$$

Note: X is typically 2-3 times larger than L or B. This is why linear sums (neural networks, EOF's, etc.) do not work well for SnI estimation.

$$\frac{\partial n_{1}}{\partial t} \bigoplus_{k=0}^{19/2} \sqrt{\left(\frac{\beta}{\beta_{0}}\right)} \iint_{k=0}^{19/2} \left(\hat{\left(\frac{\beta}{\beta_{0}}\right)} \iint_{k=0}^{10} (\hat{n}_{1}n'_{3} + n'_{1}n'_{3} + n'_{1}n'_{3}) \wedge (\hat{n}_{2} - \hat{n}_{4}, \underline{k}_{1}, k_{*}, \theta_{*}, \mathbf{x}_{1}, ..., \mathbf{x}_{n}) k_{*} d\theta_{*} dk} + \left(\frac{\beta}{\beta_{0}}\right)^{2} \iint_{k=0}^{10} (n'_{1} - n'_{3}) \wedge (\hat{n}_{2}\hat{n}_{4}, \underline{k}_{1}, k_{*}, \theta_{*}, \mathbf{x}_{1}, ..., \mathbf{x}_{n}) k_{*} d\theta_{*} dk} \right)$$
  
**Pre-calculated terms**
where
$$\wedge (\hat{n}_{2} - \hat{n}_{4}, \underline{k}_{1}, k_{*}, \theta_{*}, \mathbf{x}_{1}, ..., \mathbf{x}_{n}) = \iint_{k=0}^{10} C \left|\frac{\partial W}{\partial n}\right|^{-1} (\hat{n}_{4} - \hat{n}_{2}) ds$$

$$\wedge (\hat{n}_{2}\hat{n}_{4}, \underline{k}_{1}, k_{*}, \theta_{*}, \mathbf{x}_{1}, ..., \mathbf{x}_{n}) = \iint_{k=0}^{10} C \left|\frac{\partial W}{\partial n}\right|^{-1} \hat{n}_{2}\hat{n}_{4} ds$$

$$\wedge (\hat{n}_{2}\hat{n}_{4}, \underline{k}_{1}, k_{*}, \theta_{*}, \mathbf{x}_{1}, ..., \mathbf{x}_{n}) = \iint_{k=0}^{10} C \left|\frac{\partial W}{\partial n}\right|^{-1} \hat{n}_{2}\hat{n}_{4} ds$$

$$\wedge (\hat{n}_{2}\hat{n}_{4}, \underline{k}_{1}, k_{*}, \theta_{*}, \mathbf{x}_{1}, ..., \mathbf{x}_{n}) = \iint_{k=0}^{10} C \left|\frac{\partial W}{\partial n}\right|^{-1} \hat{n}_{2}\hat{n}_{4} ds$$

$$\wedge (\hat{n}_{2}\hat{n}_{4}, \underline{k}_{1}, k_{*}, \theta_{*}, \mathbf{x}_{1}, ..., \mathbf{x}_{n}) = \iint_{k=0}^{10} C \left|\frac{\partial W}{\partial n}\right|^{-1} \hat{n}_{2}\hat{n}_{4} ds$$

$$\wedge (\hat{n}_{2}\hat{n}_{4}, \underline{k}_{1}, k_{*}, \theta_{*}, \mathbf{x}_{1}, ..., \mathbf{x}_{n}) = \iint_{k=0}^{10} C \left|\frac{\partial W}{\partial n}\right|^{-1} \hat{n}_{2}\hat{n}_{4} ds$$

$$\wedge (\hat{n}_{2}\hat{n}_{4}, \underline{k}_{1}, k_{*}, \theta_{*}, \mathbf{x}_{1}, ..., \mathbf{x}_{n}) = \iint_{k=0}^{10} C \left|\frac{\partial W}{\partial n}\right|^{-1} \hat{n}_{2}\hat{n}_{4} ds$$

$$\wedge (\hat{n}_{1}\hat{n}_{1}, \hat{n}_{2}\hat{n}_{4}, \hat{n}_{2}\hat{n}_{3}, \dots, \hat{n}_{n}\hat{n}_{$$

Pumping Diffusion

JONSWSAP (f<sup>-5</sup> spectrum)

$$E(f) = \frac{\alpha g^2}{\left(2\pi\right)^4} f^{-5} \exp\left[-1.25 \left(\frac{f}{f_p}\right)^4\right] \gamma_5^{\Theta_5}$$

where E(f) is the spectral energy density at f,

$$\Theta_5 = \exp\left[\frac{-(f - f_p)^2}{2\sigma^2 f_p^2}\right]$$

and

$$\sigma = \sigma_{a} \text{ for } f < f_{p}$$
$$= \sigma_{b} \text{ for } f \le f_{p}$$

Modified f<sup>-4</sup> spectrum after Resio and Perrie (1989)

$$E(f) = \frac{2\beta g}{(2\pi)^3} f^{-4} \left[ z_4 \left( \frac{f}{f_p} \right)^4 \exp(-\Theta_4) + 1 \right]$$

where

 $\beta$  is the equilibrium range constant as defined in Resio et al. (2004)  $z_4$  is a constant =  $\gamma_r$  for  $f \le f_p$ ;  $\gamma_r - 1$  for  $f > f_p$ 

 $\gamma_r$  is the relative peakedness as defined in Long and Resio (2007)

 $\Theta_4$  is a peakedness factor given by

$$\Theta_4 = \left[\frac{(f - f_p)}{2\sigma f_p}\right]^2$$

with values the same as for the JONSWAP spectrum.



Idealized form for f<sup>-4</sup> spectrum

Spectra from around the world have shown a pronounced f<sup>-4</sup> (k<sup>-3</sup>) form with a transition to f<sup>-5</sup> form at high frequencies.

$$E_c(f) \sim E(f) f^4$$
$$\rightarrow F_c(k) \sim F(k) k^{-5/2}$$

2









**Compensated Spectra from Hurricane Ivan** 



Figure 2. Observed relationship between spectral peakedness and inverse wave age (Long and Resio, 2007). Relationship is not as chaotic as JONSWAP data indicated. **Characteristics of directional distributions of energy:** 

- 1. "Young" waves are very bimodal
- 2. "Old" waves approach unimodal
- 3. Both distributions are similar to Hasselmann et al. (1980)



### Both $f_p$ and $\beta$ scale out of the TSA representation. $\beta$ scales very well across many sets of experimental data.



# Effectiveness of 2<sup>nd</sup> term on improving the fit of full TSA over parametric term alone for case of observed bimodal directional distribution to cos<sup>6</sup> distribution.



Comparison of parametric term alone in TSA (green line) versus parametric plus second term in the TSA (blue line) to the full integral solution (red line).

## Effectiveness of TSA for case of skewed directional distribution to cos<sup>6</sup> distribution.



Contours of directional spectral energies from depth of 17 m offshore of Duck, NC

Comparison of TSA solution and DIA for skewed directional distribution.

## Some Additional Views: Compensated Spectra

 $E_c(f) \sim E(f) f^4 \rightarrow F_c(k) \sim F(k) k^{-5/2}$ 



Compensated low-peakedness spectra from waverider in 17 meter depth off of Duck, NC



Compensated high-peakedness spectra from waverider in 17 meter depth off of Duck, NC

## What about Mixed Sea and Swell??



Sea spectrum with 3 swell spectra having different separations

SNL for sea spectrum with 3 swell spectra having different separations



Varying swell steepness

 $\begin{array}{c}
0.02 \\
0.01 \\
0.01 \\
0.01 \\
0.01 \\
0.02 \\
0.02 \\
0.02 \\
0.05 \\
0.1 \\
0.15 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\
0.2 \\$ 

#### SNL for varying swell steepness

## Some Additional Views: Coastal Mixed Sea and Swell Directionally Aligned











FRF Waverider at 0210140100









#### FRF Waverider at 0210151900









TSA and FBI have essentially the same relaxation rate to a perturbation

3

Fetch-growth and associated spectra have long been recognized to follow a self-similar pattern.

So, the first scale of the TSA should be able to capture most of the details of SNL during this growth.

2<sup>nd</sup> term in TSA can focus on more complex situations – which is more along the lines of Hasselmann's concept for wave growth in 1976.

## **QUESTIONS???**